

+SANCTIONS LIST

To locate an individual quickly, click on the binoculars and type in the name.

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|------------------------|--|
| Drug Policy Suspension | <u>Rodney Wynn</u> December 19, 2008 |
| Drug Policy Suspension | <u>Christopher Bevins</u> December 18, 2008 |
| Drug Policy Suspension | <u>Travis Offutt</u> December 16, 2008 |
| Drug Policy Suspension | <u>Robert Pittman</u> December 16, 2008 |
| Drug Policy Suspension | <u>William P. North</u> December 16, 2008 |
| Drug Policy Suspension | <u>Matthew Bryant</u> December 15, 2008 |
| Drug Policy Suspension | <u>Eric Cantrell</u> December 15, 2008 |
| Drug Policy Suspension | <u>Teddy Johnson, II</u> December 15, 2008 |
| Drug Policy Suspension | <u>Donovan Shown</u> December 15, 2008 |
| Drug Policy Suspension | <u>Steven C. Gilbert</u> December 15, 2008 |
| Drug Policy Suspension | <u>Thomas Middleton</u> December 15, 2008 |
| Drug Policy Suspension | <u>Joshua Blackburn</u> December 10, 2008 |
| Drug Policy Suspension | <u>Shane Blackburn</u> December 10, 2008 |
| Drug Policy Suspension | <u>Pearl B. Campbell</u> December 9, 2008 |
| Drug Policy Suspension | <u>Terry Neal Cheek</u> December 8, 2008 |
| Drug Policy Suspension | <u>Toy D. Strong</u> December 8, 2008 |

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| Drug Policy Suspension | <u>David L. Feltner</u> December 8, 2008 |
| Drug Policy Suspension | <u>Walter Napier</u> December 8, 2008 |
| Drug Policy Suspension | <u>Ryan J. Hinkle</u> December 8, 2008 |
| Drug Policy Suspension | <u>Theron K. Stanley</u> December 8, 2008 |
| Drug Policy Suspension | <u>Ryan Sexton</u> December 4, 2008 |
| Drug Policy Suspension | <u>Gary D. Wright</u> December 3, 2008 |
| Drug Policy Suspension | <u>Chris Moore</u> December 3, 2008 |
| Drug Policy Suspension | <u>Rodney Wilson Baker</u> December 1, 2008 |
| Drug Policy Suspension | <u>William D. Ray</u> November 26, 2008 |
| Drug Policy Suspension | <u>Steven Hackney</u> November 26, 2008 |
| Drug Policy Suspension | <u>James Newsome</u> November 25, 2008 |
| Drug Policy Suspension | <u>Jeremy Young</u> November 20, 2008 |
| Drug Policy Suspension | <u>Ryan Hughes</u> November 20, 2008 |
| Drug Policy Suspension | <u>Sammy D. Gipson</u> November 18, 2008 |
| Drug Policy Suspension | <u>Roger W. Isaac</u> November 18, 2008 |
| Drug Policy Suspension | <u>Hubert Brock</u> November 18, 2008 |
| Drug Policy Suspension | <u>Arthur Richardson</u> November 18, 2008 |
| Drug Policy Suspension | <u>Ronnie Hackney</u> |

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| Drug Policy Suspension | <u>Robert Cable</u> November 18, 2008 |
| Drug Policy Suspension | <u>Michael Bentley</u> November 18, 2008 |
| Drug Policy Suspension | <u>Lonnie Johnson</u> November 18, 2008 |
| Drug Policy Suspension | <u>John C. Thomas</u> November 13, 2008 |
| Drug Policy Suspension | <u>John P. Love</u> November 6, 2008 |
| Drug Policy Suspension | <u>Justin L. Varney</u> November 5, 2008 |
| Drug Policy Suspension | <u>Joey Johnson</u> November 5, 2008 |
| Drug Policy Suspension | <u>Carlos Brandon Asher</u> Suspended October 30, 2008 Settlement – December 11, 2008 Sanctions: 60 day Suspension Temp Surface Miner Cert (2/16/09) 60 day Suspension UG Miner Cert (2/16/09) 1 year Probation Temp Surface Miner Cert (2/16/10) 1 year Probation UG Miner Cert (2/16/10) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first four (4) months of suspension/probationary period and once every 30 days for remainder of the period Suspension Completed: Probation Completed: Evaluation Completed: YES – 11/5/08 (Treatment recommended) Treatment Completed: |
| Drug Policy Suspension | <u>Randall Miller</u> October 30, 2008 |
| Drug Policy Suspension | <u>Ervin L. Kendrick</u> October 29, 2008 |
| Drug Policy Suspension | <u>Jason L. Rowe</u> Suspended October 29, 2008 Settlement – December 11, 2008 Sanctions: 1 year Probation Temp UG Miner Cert (12/18/09) |

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 12/10/08** (No treatment necessary)

Drug Policy Suspension

Michael Campbell

Suspended October 24, 2008

Settlement – December 11, 2008

Sanctions: 60 day Suspension Surface Miner Cert (2/16/09)

1 year Probation Surface Miner Cert (2/16/10)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing twice per month for first six (6) months of suspension/probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 12/8/08** (Treatment recommended)

Treatment Completed:

Drug Policy Suspension

William A. Looney

October 24, 2008

Drug Policy Suspension

Jonathan Joseph

October 24, 2008

Drug Policy Suspension

Christopher R. Smith

Suspended October 23, 2008

Settlement – December 11, 2008

Sanctions: 1 year Suspension Gas Detection Cert (12/18/09)

1 year Suspension Surface Miner Cert (12/18/09)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period

Suspension Completed:

Evaluation Completed: **YES – 12/4/08** (Treatment recommended)

Treatment Completed:

Drug Policy Suspension

Brian Thomas

October 23, 2008

Drug Policy Suspension

James S. Lynch

Suspended October 23, 2008

Settlement – December 11, 2008

Sanctions: 30 day Suspension UG Miner Cert (1/19/09)

30 day Suspension Temp Surface Miner Cert (1/19/09)

1 year Probation UG Miner Cert (1/19/10)
1 year Probation Temp Surface Miner Cert (1/19/10)
Suspension MET Cert (1/19/10)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first four (4) months of suspension/probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES** – **12/4/08** (Counseling recommended)

Counseling Completed:

Drug Policy Suspension William E. Collins, Jr.
October 22, 2008

Drug Policy Suspension Gary A. Holbrook
Suspended October 21, 2008
Settlement – November 13, 2008
Sanctions: 1 year Probation Electrical Worker Cert (11/20/09)
1 year Probation Surface Miner Cert (11/20/09)
1 year Suspension MET Cert (11/20/09)
1 year Suspension Surface Miner Foreman Cert (11/20/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period
Probation Completed:
Suspension Completed:
Evaluation Completed: **YES** – **11/15/08** (No treatment necessary)

Drug Policy Suspension James E. Napier
October 21, 2008

Drug Policy Suspension Brad Whitaker
October 21, 2008

Drug Policy Suspension Lance D. Hyde
October 21, 2008

Drug Policy Suspension Brian R. Couch
October 20, 2008

Drug Policy Suspension Jeremy S. Childers
October 20, 2008

Drug Policy Suspension Michael L. Michael
October 17, 2008

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| Drug Policy Suspension | <u>Louis M. Sheffield</u> October 17, 2008 |
| Drug Policy Suspension | <u>Donald J. Dye</u> October 17, 2008 |
| Drug Policy Suspension | <u>Rexford Tyler Music</u> October 17, 2008 |
| Drug Policy Suspension | <u>Bryon G. Johnston</u> October 17, 2008 |
| Drug Policy Suspension | <u>Brian H. Matney</u> October 17, 2008 |
| Drug Policy Suspension | <u>John D. Potter</u> October 17, 2008 |
| Drug Policy Suspension | <u>Daniel L. Brandenburg</u> October 16, 2008 |
| Drug Policy Suspension | <u>David Barret</u> October 16, 2008 |
| Drug Policy Suspension | <u>Ivan Burnette</u> October 15, 2008 |
| Drug Policy Suspension | <u>Jordon (Jordan) Price</u> Appeal of Certificate Denial – July 29, 2008 Settlement – September 11, 2008 Sanctions: 1 year Probation Temp Surface Miner Cert (9/11/09) Submit to drug testing every thirty (30) days Probation Completed: |
| Drug Policy Suspension | <u>Ronald Holcomb</u> Suspended October 13, 2008 Settlement – November 13, 2008 Sanctions: 30 day Suspension UG Miner Cert (12/22/08) 30 day Suspension Surface Miner Cert (12/22/08) 30 day Suspension Electrical Worker Cert (12/22/08) 1 year Probation UG Miner Cert (12/22/09) 1 year Probation Surface Miner Cert (12/22/09) 1 year Probation Electrical Worker Cert (12/22/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period Suspension Completed: |

Probation Completed:
Evaluation Completed: **YES – 11/13/08** (No treatment necessary)

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|------------------------|--|
| Drug Policy Suspension | <u>Billy Ingram</u> October 13, 2008 |
| Drug Policy Suspension | <u>John W. Robinette</u> October 13, 2008 |
| Drug Policy Suspension | <u>Jared Randall Turner</u> October 13, 2008 |
| Drug Policy Suspension | <u>Roland D. Mullins</u> October 8, 2008 |
| Drug Policy Suspension | <u>Christopher T. Johnson</u> Suspended October 8, 2008 Revocation Temp Surface Miner & UG Miner Certs for one year (12/11/09) |
| Drug Policy Suspension | <u>Bennett J. Tyree</u> Suspended October 7, 2008 Revocation Temp UG Miner Cert for one year (12/11/09) |
| Drug Policy Suspension | <u>Chase E. Lyons</u> Suspended October 6, 2008 Settlement – December 11, 2008 Sanctions: 30 day Suspension Temp Surface Miner Cert (1/19/09) 30 day Suspension UG Miner Cert (1/19/09) 1 year Probation Temp Surface Miner Cert (1/19/10) 1 year Probation UG Miner Cert (1/19/10) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first four (4) months of suspension/probationary period and once every 30 days for remainder of the period Suspension Completed: Probation Completed: Evaluation Completed: YES – 12/1/08 (Treatment recommended) Treatment Completed: |
| Drug Policy Suspension | <u>Samuel C. Johnson</u> Suspended October 2, 2008 Revocation UG Miner Cert for one year (12/11/09) |
| Drug Policy Suspension | <u>Gary D. Adams, Jr.</u> October 2, 2008 |
| Drug Policy Suspension | <u>Bennie W. Bentley</u> Suspended September 25, 2008 |

Revocation Surface Miner Cert for one year (12/11/09)

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| Drug Policy Suspension | <u>David Clayton Richie</u> Suspended September 25, 2008 Revocation Surface Miner & UG Miner Cert for one year (12/11/09) |
| Drug Policy Suspension | <u>Donnie R. Hurley</u> September 24, 2008 |
| Drug Policy Suspension | <u>Jason Combs</u> September 24, 2008 |
| Drug Policy Suspension | <u>Kolston Green</u> Suspended September 24, 2008 Settlement – November 13, 2008 Sanctions: 1 year Probation UG Miner Cert (12/18/09) 1 year Probation Surface Miner Cert (12/18/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period Probation Completed: Evaluation Completed: YES – 11/13/08 (Counseling sessions recommended) Counseling Completed: |
| Drug Policy Suspension | <u>Charles David Chappell</u> Suspended September 22, 2008 Revocation UG Miner Cert for one year (12/11/09) |
| Drug Policy Suspension | <u>Rodney W. Wellborn</u> September 17, 2008 |
| Drug Policy Suspension | <u>Curtis Venters</u> September 17, 2008 |
| Drug Policy Suspension | <u>Louis Sandlin</u> Suspended September 16, 2008 Revocation UG Miner Cert for one year (12/11/09) |
| Drug Policy Suspension | <u>Lonnie Conley</u> Suspended September 16, 2008 Revocation Surface Miner & Gas Detection Certs for one year (12/11/09) |
| Drug Policy Suspension | <u>Robbie Chaney</u> Suspended September 16, 2008 Settlement – November 13, 2008 Sanctions: 30 day Suspension UG Miner Cert (12/22/08) 1 year Probation UG Miner Cert (12/22/09) |

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month during the thirty (30) day suspension period, twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 11/17/08** (No treatment necessary)

Drug Policy Suspension

Charles Fuller

September 15, 2008

Drug Policy Suspension

Greg Hylton

September 11, 2008

Drug Policy Suspension

Lloyd Collins, III

September 11, 2008

Drug Policy Suspension

Jeremy B. Hopkins

Suspended September 11, 2008

Revocation Temp UG & Temp Surface Miner Certs for one year (11/13/09)

Drug Policy Suspension

Benjie Bentley

September 10, 2008

4

Drug Policy Suspension

Scottie L. Caudill

Suspended September 10, 2008

Revocation UG Miner Cert (11/13/09)

Drug Policy Suspension

Jackie E. Hopkins

Suspended September 10, 2008

Settlement – November 13, 2008

Sanctions: 30 day Suspension UG Miner Cert (12/22/08)

30 day Suspension Temp Surface Miner Cert (12/22/08)

1 year Probation UG Miner Cert (12/22/09)

1 year Probation Temp Surface Miner Cert (12/22/09)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing twice per month during the first four (4) months of suspension/probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 10/8/08** (Treatment was recommended)

Treatment Completed:

Drug Policy Suspension

Timothy Michael Bailey

Suspended September 8, 2008

Settlement – December 11, 2008

Sanctions: 60 day Suspension UG Miner Cert (2/16/09)
1 year Probation UG Miner Cert (2/16/10)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first four
(4) months of suspension/probationary period and
once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 12/16/08** (Education on use
recommended)

Drug Policy Suspension

James Price

September 8, 2008

Drug Policy Suspension

Joey K. Scott (Joseph K. Scott)

Suspended September 8, 2008

Settlement – October 9, 2008

Sanctions: 30 day Suspension UG Miner Cert (11/24/08)
30 day Suspension Gas Detection Cert (11/24/08)
30 day Suspension Surface Miner Cert (11/24/08)
1 year Probation UG Miner Cert (11/24/09)
1 year Probation Gas Detection Cert (11/24/09)
1 year Probation Surface Miner Cert (11/24/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month during the
thirty (30) day suspension period, twice per month
for first three (3) months of probationary period and
once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 10/21/08** (Treatment was
necessary)

Treatment Completed:

Drug Policy Suspension

Derek Williams Snyder

Suspended September 8, 2008

Settlement – November 13, 2008

Sanctions: 1 year Probation UG Miner (11/20/09)
1 year Probation Gas Detection Cert (11/20/09)
1 year Suspension UG Mine Foreman (11/20/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first four
(4) months of suspension/probationary period and
once every 30 days for remainder of the period

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 11/17/08** (Treatment was recommended)

Treatment Completed:

Drug Policy Suspension

Carroll Wayne Rickard

Suspended September 5, 2008

Settlement – November 13, 2008

Sanctions: 1 year Probation UG Miner Cert (11/20/09)
1 year Suspension Shot Firer-Conventional Cert (11/20/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month during the for first three (3) months of suspension/probationary period and once every 30 days for remainder of the period

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 11/18/08** (No treatment necessary)

Drug Policy Suspension

Ronald David Cooper, Jr.

Suspended September 5, 2008

Settlement – October 9, 2008

Sanctions: 1 year Probation UG Miner Cert (10/23/09)
1 year Probation Temp Surface Miner Cert (10/23/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 9/23/08** (Education Class recommended)

Education Class Completed: **YES – 10/16/08**

Drug Policy Suspension

Steve W. Williams

September 5, 2008

Drug Policy Suspension

Bobby Boyd

September 3, 2008

Drug Policy Suspension

Johnny Clark

August 27, 2008

Drug Policy Suspension

Daniel L. Smith

Suspended August 26, 2008

Settlement – October 9, 2008

Sanctions: 6 month Probation Gas Detection Cert (4/23/09)
6 month Probation UG Miner Cert (4/23/09)
Submit to drug testing every thirty (30) days during the probationary period

Probation Completed:

Drug Policy Suspension

Brian Marvin Hacker

Suspended August 25, 2008

Settlement – October 9, 2008

Sanctions: 30 day Suspension UG Miner Cert (1/19/09)
30 day Suspension Temp Surface Miner Cert (1/19/09)
1 year Probation UG Miner Cert (1/19/10)
1 year Probation Temp Surface Miner Cert (1/19/10)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for the first four (4) months of the suspension/probation period and once every 30 days for remainder of the probationary period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 10/27/08** (No treatment necessary)

Drug Policy Suspension

Kenneth Travis

Suspended August 21, 2008

Settlement – October 9, 2008

Sanctions: 30 day Suspension UG Miner Cert (11/24/08)
30 day Suspension Electrical Worker Cert (11/24/08)
30 day Suspension Hoisting Engineer Cert (11/24/08)
1 year Probation UG Miner Cert (11/24/09)
1 year Probation Electrical Worker Cert (11/24/09)
1 year Probation Hoisting Engineer Cert (11/24/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month during the thirty (30) day suspension period, twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 10/22/08** (Treatment recommended)

Treatment Completed:

Drug Policy Suspension

Buddie Ray Morris

August 19, 2008

Drug Policy Suspension

Clay Layton

August 13, 2008

Drug Policy Suspension

Larry R. Thacker, Jr.

Suspended August 8, 2008

Revocation UG Miner & Temp Surface Miner Certs for one year
10/9/09

Drug Policy Suspension

Lonnie D. Mullins

Suspended August 8, 2008

Revocation Surface Miner Cert & Blaster License – General Cert
for one year 10/9/09

Drug Policy Suspension

Jonathan P. Earley

Suspended August 6, 2008

Settlement – September 11, 2008

Sanctions: 1 year Probation UG Miner Cert (10/23/09)
1 year Probation Temp Surface Miner Cert
(10/23/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing every 30 days during
probationary period

Probation Completed:

Evaluation Completed: **YES – 9/4/08** (No treatment necessary)

Drug Policy Suspension

Samuel Sizemore

Suspended August 6, 2008

Revocation UG Miner Cert for one year 10/9/09

Drug Policy Suspension

Michael Curtis Sexton

Suspended August 5, 2008

Settlement – October 9, 2008

Sanctions: 30 day Suspension UG Miner Cert (11/24/08)
1 year Probation UG Miner Cert (11/24/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month during the
thirty (30) day suspension period, twice per month
for first four (4) months of probationary period and
once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 10/14/08** (No treatment necessary)

Drug Policy Suspension

Johnny L. Younce

Suspended August 5, 2008

Settlement – September 11, 2008

Sanctions: 60 day Suspension UG Miner Cert (1/19/09)
60 day Suspension Temp Surface Miner Cert
(1/19/09)
1 year Probation UG Miner Cert (1/19/10)
1 year Probation Temp Surface Miner Cert
(1/19/10)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Submit to drug testing twice per for first four (4) months of suspension/probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 10/13/08** (Treatment was recommended)

Treatment Completed:

Drug Policy Suspension

Shane Martin

Suspended August 4, 2008

Settlement – October 9, 2008

Sanctions: 30 day Suspension UG Miner Cert (11/24/08)

1 year Probation UG Miner Cert (11/24/09)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing twice per month for first four (4) months of suspension/probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 9/19/08** (No treatment necessary)

Drug Policy Suspension

Brian Caldwell

Suspended July 31, 2008

Settlement – October 13, 2008

Sanctions: 30 day Suspension Surface Miner Cert (12/22/08)

30 day Suspension UG Miner Cert (12/22/08)

30 day Suspension Gas Detection Cert (12/22/08)

1 year Probation Surface Miner Cert (12/22/09)

1 year Probation UG Miner Cert (12/22/09)

1 year Probation Gas Detection Cert (12/22/09)

1 year Suspension MET Cert (12/22/09)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing twice per month during the thirty (30) day suspension period, twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period

30 day Suspension Completed:

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 10/3/08** (Completed 2 outpatient sessions 10/13/08 & 10/14/08)

Drug Policy Suspension

Douglas M. Gooslin, III

Suspended July 28, 2008

Revocation Temp UG Miner, Surface Miner & MET Certs for one year 10/9/09

Drug Policy Suspension

Christopher Bryant

Suspended July 25, 2008

Settlement – September 11, 2008

Sanctions: 1 year Probation UG Miner Cert (9/25/09)
1 year Probation Temp Surface Miner Cert (9/25/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 9/22/08** (Treatment was necessary)

Treatment Completed:

Drug Policy Suspension

Dennis Justice

Suspended July 25, 2008

Settlement – October 9, 2008

Sanctions: 60 day Suspension UG Miner Cert (11/24/08)
1 year Probation UG Miner Cert (11/24/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month during sixty (60) day suspension period, drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 10/15/08** (Treatment plan is recommended)

Treatment Completed:

Drug Policy Suspension

John Wayne Robinson

Suspended July 17, 2008

Settlement – September 11, 2008

Sanctions: 1 year Probation UG Miner Cert (9/25/09)
1 year Probation Temp Surface Miner Cert (9/25/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 9/19/08** (No treatment necessary)

Drug Policy Suspension

Ronnie Slone

July 15, 2008

Drug Policy Suspension

Timothy Prater

Suspended July 11, 2008

Settlement – September 11, 2008

Sanctions: 1 year Suspension Shot Firer-Conventional Cert (9/25/09)
1 year Suspension Shot Firer-Solid Blasting Cert (9/25/09)
1 year Suspension UG Mine Foreman Cert (9/25/09)
1 year Probation First Aid Cert (9/25/09)
1 year Probation Gas Detection Cert (9/25/09)
1 year Probation UG Miner Cert (9/25/09)
Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Drug Policy Suspension

Chester Allen Baker

Suspended July 11, 2008

Settlement – September 11, 2008

Sanctions: 30 day Suspension UG Miner Cert (10/27/08)
13 month Suspension UG Mine Foreman Cert (10/27/09)
1 year Probation UG Miner Cert (10/27/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first four (4) months of suspension/probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 9/2/08** (No treatment necessary)

Drug Policy Suspension

Leonard Pinion

July 10, 2008

Drug Policy Suspension

Danny W. Dunn

Suspended July 10, 2008

Revocation Surface Miner & Temp UG Miner Certs for not less than one year (9/11/09)

Drug Policy Suspension

Markus Richardson

Suspended July 3, 2008

Settlement – September 11, 2008

Sanctions: 1 year Probation Gas Detection Cert (11/20/09)
1 year Probation UG Miner Cert (11/20/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month during the thirty (30) day suspension period, twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 10/28/08** (No treatment necessary)

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| Drug Policy Suspension | <u>Danny Adkins</u> Suspended July 2, 2008 Settlement – September 11, 2008 Sanctions: 30 day Suspension UG Miner Cert (11/24/08) 30 day Suspension Gas Detection Cert (11/24/08) 1 year Probation UG Miner Cert (11/24/09) 1 year Probation Gas Detection Cert (11/24/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period Suspension Completed: Probation Completed: Evaluation Completed: YES – 9/23/08 (Treatment was recommended) Treatment Completed: |
| Drug Policy Suspension | <u>David Blair</u> Suspended June 30, 2008 Settlement – August 14, 2008 Sanctions: 1 year Probation UG Miner Cert (8/14/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period Probation Completed: Evaluation Completed: YES – 8/11/08 (No treatment necessary) |
| Drug Policy Suspension | <u>Kevin Runyon</u> Suspended June 27, 2008 Revocation of UG Miner & Surface Miner Certs for one year (12/11/09) |
| Drug Policy Suspension | <u>Matthew Wolford</u> Suspended June 27, 2008 Settlement – September 11, 2008 Sanctions: 30 day Suspension Gas Detection Cert (11/24/08) 30 day Suspension Surface Miner Cert (11/24/08) 30 day Suspension UG Miner Cert (11/24/08) 1 year Probation Gas Detection Cert (11/24/09) 1 year Probation Surface Miner Cert (11/24/09) 1 year Probation UG Miner Cert (11/24/09) 1 year Suspension UG Mine Foreman Cert (11/24/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month during the for first four (4) months of suspension/probationary |

period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 9/22/08** (Treatment was recommended)

Treatment Completed:

Drug Policy Suspension

Robert D. Sykes

Suspended June 27, 2008

Revocation UG Miner & Gas Detection Certs (9/11/09)

Drug Policy Suspension

Perry Adams

June 27, 2008

Drug Policy Suspension

Vance C. Ray

Suspended June 26, 2008

Settlement – August 14, 2008

Sanctions: 60 day Suspension UG Miner Cert (10/13/08)

1 year Probation UG Miner Cert (10/13/09)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing twice a month during the suspension period and for the first four (4) months of probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 8/21/08** (Entered a recovery program)

Treatment Completed:

Drug Policy Suspension

Joshua W. Johnson

Suspended June 26, 2008

Settlement – August 14, 2008

Sanctions: 60 day Suspension UG Miner Cert (10/28/08)

60 day Suspension Temp Surface Miner Cert (10/28/08)

1 year Probation UG Miner Cert (10/28/09)

1 year Probation Temp Surface Miner Cert (10/28/09)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 8/25/08** (Treatment was recommended)

Treatment Completed:

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|------------------------|---|
| Drug Policy Suspension | <u>Brian Looney</u> Suspended June 24, 2008 Settlement – September 11, 2008 Sanctions: 30 day Suspension UG Miner Cert (10/27/08) 30 day Suspension Gas Detection Cert (10/27/08) 1 year Probation UG Miner Cert (10/27/09) 1 year Probation Gas Detection Cert (10/27/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first four (4) months of suspension/probationary period and once every 30 days for remainder of the period Suspension Completed: Probation Completed: Evaluation Completed: YES – 9/18/08 (Treatment was recommended) Treatment Completed: |
| Drug Policy Suspension | <u>David Griffith</u> Suspended June 24, 2008 Revocation UG Miner & Gas Detection Certs for not less than one year (9/11/09) |
| Drug Policy Suspension | <u>Solomon N. Madden</u> Suspended June 23, 2008 Settlement – August 14, 2008 Sanctions: 30 day Suspension Surface Miner Cert (10/27/08) 30 day Suspension Temp UG Miner Cert (10/27/08) 1 year Probation Surface Miner Cert (10/27/09) 1 year Probation Temp UG Miner Cert (10/27/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first four (4) months of suspension/probationary period and once every 30 days for remainder of the period Suspension Completed: Probation Completed: Evaluation Completed: YES – (9/23/08) (Outpatient counseling recommended) Counseling Completed: |
| Drug Policy Suspension | <u>Brian Mullins</u> Suspended June 23, 2008 Revocation UG Miner & Gas Detection Certs for one year (8/14/09) |
| Drug Policy Suspension | <u>Derick Hogg</u> Suspended June 13, 2008 Revocation Temp UG Miner & Surface Miner Certs for not less than one year (8/14/09) |
| Drug Policy Suspension | <u>Matthew Yeary</u> Suspended June 13, 2008 |

Settlement – August 14, 2008

Sanctions: 1 year Probation UG Miner Cert (10/23/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first four
(4) months of probationary period and once every
30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 9/8/08** (No treatment necessary)

Drug Policy Suspension

Coleman Holland

Suspended June 11, 2008

Revocation Surface Miner Cert for not less than one year (8/14/09)

Drug Policy Suspension

Jeremy Engle

Suspended June 11, 2008

Revocation of Temp UG Miner, Surface Miner & MET Certs for
one year (12/11/09)

Drug Policy Suspension

Jayson Collins

Suspended June 11, 2008

Settlement – August 14, 2008

Sanctions: 30 day Suspension Surface Miner Cert (9/12/08)
1 year Probation Surface Miner Cert (9/12/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice during suspension
period and twice per month for the first four (4)
months of probationary period and once every 30
days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 8/26/08** (Outpatient treatment
recommended)

Treatment Completed:

Drug Policy Suspension

Shawn M. Muncy

Suspended June 9, 2008

Settlement – September 11, 2008

Sanctions: 30 day Suspension UG Miner Cert (10/27/08)
1 year Probation UG Miner Cert (10/27/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first four
(4) months of suspension/probationary period and
once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 9/23/08** (Treatment was recommended)

Treatment Completed:

Drug Policy Suspension

Jimmy Charles

| | |
|------------------------|--|
| Drug Policy Suspension | <u>Brandon Beach</u> June 9, 2008 |
| Drug Policy Suspension | <u>Clinton R. Clatworthy</u> Suspended June 5, 2008 Settlement – September 11, 2008 Sanctions: 1 year Suspension Shot Firer-Conventional Cert (9/25/09) 1 year Suspension Shot Firer-Solid Blasting Cert (9/25/09) 1 year Probation Drill Operator Cert (9/25/09) 1 year Probation UG Miner Cert (9/25/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days during the suspension/probationary period Suspension Completed: Probation Completed: Evaluation Completed: YES – 9/18/08 (No treatment necessary) |
| Drug Policy Suspension | <u>Justin Caudill</u> Suspended June 5, 2008 Permanent Revocation Surface Miner Cert (8/14/08) |
| Drug Policy Suspension | <u>Timothy W. Cornett</u> Suspended June 3, 2008 Settlement – July 10, 2008 (Settlement amended 12/11/08) Sanctions: 1 year Probation UG Miner Cert (12/11/09) 1 year Probation Gas Detection Cert (12/11/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period Probation Completed: Evaluation Completed: YES – 7/17/08 (No treatment necessary) |
| Drug Policy Suspension | <u>Jeffrey W. Kilbourne</u> Suspended June 2, 2008 Settlement – July 10, 2008 Sanctions: 1 year Probation UG Miner Cert (7/24/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period Probation Completed: Evaluation Completed: YES – 7/16/08 (No treatment necessary) |

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|------------------------|--|
| Drug Policy Suspension | <u>Shannon Cromer</u> Suspended May 28, 2008 Revocation Surface Miner Cert for not less than one year (9/11/09) |
| Drug Policy Suspension | <u>Durand Lamont Warren</u> Suspended May 27, 2008 Revocation Surface Miner & Temp UG Miner Certs for not less than one year (9/11/09) |
| Drug Policy Suspension | <u>James Franklin Rich</u> Suspended May 21, 2008 Settlement – July 10, 2008 Sanctions: 1 year Probation UG Miner Cert (7/24/09) 1 year Probation Surface Miner Cert (7/24/09) 1 year Suspension Shot Firer-Conventional Cert (7/24/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period Probation Completed: Suspension Completed: Evaluation Completed: YES – 7/21/08 (No treatment necessary) |
| Drug Policy Suspension | <u>Christopher Shackelford</u> Suspended May 21, 2008 Revocation Surface Miner Cert for not less than one year (8/14/09) |
| Drug Policy Suspension | <u>Freddie Hubbard</u> Suspended May 19, 2008 Settlement – June 12, 2008 Sanctions: 1 year Probation UG Miner Cert (6/26/09) 1 year Probation Gas Detection Cert (6/26/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period Probation Completed: Evaluation Completed: YES 6/23/08 (Counseling was recommended) Counseling Completed: |
| Drug Policy Suspension | <u>Tony Gilliam</u> Suspended May 19, 2008 Settlement – July 10, 2008 Sanctions: 30 day Suspension UG Miner Cert (9/12/08) 30 day Suspension Gas Detection Cert (9/12/08) 30 day Suspension Temp Surface Miner Cert (9/12/08) |

11 month Probation UG Miner Cert (8/14/09)
11 month Probation Gas Detection Cert (8/14/09)
11 month Probation Temp Surface Miner Cert (8/14/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice during suspension period and twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 8/14/08** (No treatment necessary)

Drug Policy Suspension

Greg C. Cooper

Suspended May 12, 2008

Revocation Temp UG Miner & Surface Miner Certs for not less than one year (8/14/09)

Drug Policy Suspension

Earl D. Charles

Suspended May 12, 2008

Settlement – July 10, 2008

Sanctions: 30 day Suspension UG Miner Cert (8/24/08)
30 day Suspension Gas Detection Cert (8/24/08)
1 year Probation UG Miner Cert (7/24/09)
1 year Probation Gas Detection Cert (7/24/09)
1 year Suspension UG Miner Foreman Cert (7/24/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 6/17/08** (Drug abuse treatment was recommended)

Treatment Completed:

Drug Policy Suspension

Brandon Fannin

Suspended May 12, 2008

Settlement – August 14, 2008

Sanctions: 60 day Suspension UG Miner Cert (11/24/08)
1 year Probation UG Miner Cert (11/24/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first four (4) months of suspension/probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 8/11/08** (Counseling was recommended)

Counseling Completed:

| | |
|------------------------|--|
| Drug Policy Suspension | <u>Lee Sartin</u> Suspended May 12, 2008 Settlement – August 14, 2008 Sanctions: 1 year Probation UG Miner Cert (8/14/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period Probation Completed: Evaluation Completed: YES – 8/5/08 (Counseling was recommended) Counseling Completed: |
| Drug Policy Suspension | <u>Marc Williams</u> Suspended May 8, 2008 Settlement – June 12, 2008 Sanctions: 1 year Probation UG Miner Cert (6/26/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period Probation Completed: Evaluation Completed: YES – 6/18/08 (No treatment necessary) |
| Drug Policy Suspension | <u>Michael A. Weir</u> Suspended May 7, 2008 Settlement – June 12, 2008 Sanctions: 1 year Probation UG Miner Cert (7/24/09) 1 year Probation Gas Detection Cert (7/24/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period Probation Completed: Evaluation Completed: YES – 6/25/08 (No treatment necessary) |
| Drug Policy Suspension | <u>Jeremy Raschella</u> Suspended May 7, 2008 Revocation Surface Miner & Gas Detection Certs for not less than one year (9/11/09) |
| Drug Policy Suspension | <u>Daniel Wagers</u> Suspended May 7, 2008 Revocation Surface Miner Cert for not less than one year (8/14/09) |
| Drug Policy Suspension | <u>Richard Craig Yonts</u> Suspended April 30, 2008 |

Revocation Surface Miner Cert for not less than one year (7/10/09)

Drug Policy Suspension

Tim Wright
April 28, 2008

Drug Policy Suspension

Jerry Jones
Suspended April 24, 2008
Settlement – June 12, 2008
Sanctions: 1 year Suspension MET Cert (6/26/09)
1 year Probation UG Miner Cert (6/29/09)
1 year Probation Surface Miner Cert (6/29/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first four
(4) months of probationary period and once every
30 days for remainder of the period
Suspension Completed:
Probation Completed:
Evaluation Completed: **YES – 5/22/08** (Substance abuse
counseling recommended)
Counseling Completed:

Drug Policy Suspension

Justin K. Smith
Suspended April 21, 2008
Settlement – July 10, 2008
Sanctions: 30 day Suspension UG Miner Cert (9/12/08)
30 day Suspension Temp Surface Miner Cert
(9/12/08)
1 year Probation UG Miner Cert (9/12/09)
1 year Probation Temp Surface Miner Cert
(9/12/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice during the thirty (3)
day suspension and twice per month for first three
(3) months of probationary period and once every
30 days for remainder of the period
Suspension Completed:
Probation Completed:
Evaluation Completed: **YES – 7/30/08** (Outpatient counseling
recommended)
Counseling Completed:

Drug Policy Suspension

Buford McIntosh
Suspended April 21, 2008
Settlement – December 11, 2008
Sanctions: 30 day Suspension Electrical Worker Cert (1/19/09)
30 day Suspension Gas Detection Cert (1/19/09)
30 day Suspension Hoisting Engineer Cert (1/19/09)
30 day Suspension UG Miner Cert (1/19/09)
1 year Probation Electrical Worker Cert (1/19/10)
1 year Probation Gas Detection Cert (1/19/10)

1 year Probation Hoisting Engineer Cert (1/19/10)
1 year Probation UG Miner Cert (1/19/10)
Suspension of MET 1/19/10)
Suspension of UG Mine Foreman Cert (1/19/10)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first four
(4) months of probationary period and once every
30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 9/9/08** (Currently in a program)

Drug Policy Suspension

Anthony Potter

Suspended April 18, 2008

Settlement – July 10, 2008

Sanctions: 1 year Probation UG Miner Cert (8/14/09)
1 year Probation Surface Miner Cert (8/14/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first four
(4) months of probationary period and once every
30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 7/7/08** (No treatment necessary)

Drug Policy Suspension

Donny A. Jones

Suspended April 17, 2008

Revocation UG Miner and Gas Detection Certs for not less than
one year (7/10/09)

Drug Policy Suspension

James D. Dixon

April 10, 2008

Drug Policy Suspension

Billy R. Coleman

Suspended April 10, 2008

Revocation Surface Miner Cert for not less than one year (7/10/09)

Drug Policy Suspension

Ricky J. Smith

Suspended April 9, 2008

Settlement – July 10, 2008

Sanctions: 1 year Probation Surface Miner Cert (7/24/09)
1 year Probation Temporary UG Miner Cert
(7/24/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first four
(4) months of probationary period and once every
30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 7/20/08** (Substance abuse
counseling recommended)

Counseling Completed:

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|------------------------|--|
| Drug Policy Suspension | <u>Zachary Caudill</u> Suspended April 9, 2008 Revocation UG Miner Cert for not less than one year (7/10/09) |
| Drug Policy Suspension | <u>Mark Pennycuff</u> Suspended April 4, 2008 Revocation Temporary UG Miner, Gas Detection, Surface Miner Certs for not less than one year (7/10/09) |
| Drug Policy Suspension | <u>Benjamin M. Wagner</u> Suspended April 1, 2008 Settlement – May 8, 2008 Sanctions: 1 year Probation UG Miner Cert (6/26/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period Probation Completed: Evaluation Completed: YES – 5/22/08 (Substance abuse education recommended) |
| Drug Policy Suspension | <u>Jason Barger</u> Suspended March 31, 2008 Revocation Surface Miner Cert for not less than one year (7/10/09) |
| Drug Policy Suspension | <u>Gregory A. Baker</u> Suspended March 31, 2008 Settlement – July 10, 2008 Sanctions: 1 year Probation Surface Miner Cert (7/24/09) 1 year Probation Temp UG Miner Cert (7/24/09) 1 year Suspension Blaster License Cert (7/24/09) Submit to drug testing once every 30 days Probation Completed: Suspension Completed: |
| Drug Policy Suspension | <u>Larry Gibson</u> Suspended March 31, 2008 Revocation Surface Miner Cert for one year 10/9/09 |
| Drug Policy Suspension | <u>Kevin Biggers</u> Suspended March 25, 2008 Settlement – June 12, 2008 Sanctions: 1 year Probation UG Miner Cert (7/24/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period Probation Completed: |

Evaluation Completed: **YES – 7/15/08** (Counseling was recommended)

Counseling Completed:

Drug Policy Suspension

Jacob A. Roberts

Suspended March 24, 2008

Settlement – May 8, 2008

Sanctions: 1 year Probation Temp UG Miner Cert (12/18/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 9/18/08** (Roberts did complete a treatment plan.)

Drug Policy Suspension

Jason L. Coleman

Suspended March 20, 2008

Revocation Surface Miner Cert for not less than one year (7/10/09)

Drug Policy Suspension

Barry Lynn Powers

Suspended March 19, 2008

Revocation Surface Miner Cert for one year (6/12/09)

Drug Policy Suspension

Steven Epling

Prestonsburg

Suspended March 17, 2008

Settlement – May 8, 2008

Sanctions: 1 year Probation Gas Detection Cert (5/22/09)
1 year Probation Surface Miner Cert (5/22/09)
1 year Probation UG Miner Cert (5/22/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 5/21/08** (Counseling recommended)

Counseling Completed:

Drug Policy Suspension

David Shawn Sumner

Suspended March 13, 2008

Permanent revocation UG Miner Cert (12/11/08)

Drug Policy Suspension

Todd Barnett

Suspended March 13, 2008

Revocation UG Miner, UG Mine Foreman, Gas Detection Certs for not less than one year (7/10/09)

Drug Policy Suspension

Billy J. Franks

Hazard

Suspended March 11, 2008

Settlement – April 24, 2008

Sanctions: Suspend surface miner and underground miner certificates until reinstated by the Board and for thirty (30) days after such reinstatement. Franks was reinstated by the Board on 4/24/08.
30 day Suspension Surface Miner Cert (5/24/08)
30 day Suspension UG Miner Cert (5/24/08)
11 month Probation Surface Miner Cert (4/24/09)
11 month Probation UG Miner Cert (4/24/09)
1 year Suspension MET Cert (4/24/09)
1 year Suspension MET Instructor Cert (4/24/09)
1 year Suspension Mining Instructor-Surface Cert (4/24/09)
1 year Suspension Surface Mine Foreman Cert (4/24/09)
1 year Suspension Surface Mine Safety Analyst Cert (4/24/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period

30 day Suspension Completed:

11 month Probation Completed:

1 year Suspension Completed:

Evaluation Completed: **YES – 4/22/08** (No treatment necessary)

Drug Policy Suspension

Robert G. Fugate

Suspended March 6, 2008

Revocation Temp Surface Miner Cert for one year (6/12/09)

Drug Policy Suspension

John W. Miller, Jr.

March 6, 2008

Drug Policy Suspension

Warren King

Pikeville

Suspended March 6, 2008

Settlement – May 8, 2008

Sanctions: 1 year Probation Surface Miner Cert (5/22/09)

1 year Probation Gas Detection Cert (5/22/09)

1 year Probation UG Miner Cert (5/22/09)

1 year Suspension Surface Mine Foreman Cert (5/22/09)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing once every 30 days

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 5/14/08** (Treatment was recommended)

Treatment Completed: **YES – 6/16/08**

Drug Policy Suspension

James Mullins

Suspended March 5, 2008
Revocation Surface Miner Cert for one year (11/13/09)

Drug Policy Suspension

Casey A. Fain

Suspended March 4, 2008

Settlement – July 10, 2008

Sanctions: 1 year Probation UG Miner Cert (8/14/08)
1 year Suspension Gas Detection Cert (8/14/08)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first four
(4) months of probationary period and once every
30 days for remainder of the period

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 8/23/08** (Treatment was
recommended)

Treatment Completed:

Drug Policy Suspension

Travis C. White

Suspended February 28, 2008

Revocation UG Miner & Surface Miner Cert for one year (6/12/09)

Drug Policy Suspension

Shane Gross

February 27, 2008

Drug Policy Suspension

Lonnie W. Shemwell

Suspended February 26, 2008

Revocation UG Miner Cert for one year (6/12/09)

Drug Policy Suspension

Johnny R. Sexton

Suspended February 25, 2008

Revocation Temp Surface Miner & UG Miner Certs for one year
(6/12/09)

Drug Policy Suspension

Wesley D. Bearden

February 22, 2008

Drug Policy Suspension

Bobby D. Poston

Suspended February 22, 2008

Settlement – May 8, 2008

Sanctions: 1 year Probation UG Miner Cert (6/26/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first
three (3) months of probationary period and once
every 30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 6/9/08** (No treatment necessary)

Drug Policy Suspension

Charles W. Gibson

Martin

Suspended February 22, 2008

Settlement – April 18, 2008

Sanctions: 1 year Probation Surface Miner Cert (4/24/09)
1 year Suspension Surface Mine Foreman Cert (4/24/09)
1 year Suspension MET Cert (4/24/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first two (2) months of probationary period and once every 30 days for remainder of the period

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 4/21/08** (No treatment necessary)

Drug Policy Suspension

Christopher Turner Hazard

Suspended February 22, 2008

Settlement – April 18, 2008

Sanctions: 1 year Probation Temp Surface Miner Cert (5/22/09)
1 year Probation Temp UG Miner Cert (5/22/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 4/23/08** (Counseling was recommended)

Counseling Completed: **YES – 8/5/08**

Drug Policy Suspension

Joey Hackney

February 20, 2008

Drug Policy Suspension

Ricky Mullins Pikeville

Suspended February 19, 2008

Settlement – April 18, 2008

Sanctions: 1 year Probation UG Miner Cert (4/24/09)
1 year Probation Gas Detection Cert (4/24/09)
1 year Probation Electrical Worker Cert (4/24/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing once every 30 days

Probation Completed:

Evaluation Completed: **YES – 4/21/08** (Treatment was necessary)

Treatment Completed:

Drug Policy Suspension

Tommy Dewayne Seals

Suspended February 19, 2008

Settlement – September 11, 2008

Sanctions: 1 year Probation UG Miner Cert (10/23/09)
1 year Probation Temp Surface Miner Cert (10/23/09)

Submit to drug testing twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period

Probation Completed:

Drug Policy Suspension

Joel Sturgill

Suspended February 19, 2008

Revocation UG Miner & Shot-firer-conventional Certs for one year (5/8/09)

Drug Policy Suspension

Jeffery Ratliff

Suspended February 19, 2008

Revocation UG Miner Cert for one year (5/8/09)

Drug Policy Suspension

Danny Patrick

Hazard

Suspended February 19, 2008

Settlement – March 13, 2008

Sanctions: 1 year Probation UG Miner Cert (3/27/09)
1 year Probation Surface Miner Cert (3/27/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing once every 30 days

Probation Completed:

Evaluation Completed: **YES – 3/21/08** (No treatment necessary)

Drug Policy Suspension

Dustin O. Mullins

Suspended February 18, 2008

Revocation Surface Miner Cert for one year (6/12/09)

Drug Policy Suspension

Billy A. Brown

Madisonville

Suspended February 15, 2008

Settlement – April 18, 2008

Sanctions: 30 day Suspension Surface Miner Cert (6/23/08)
30 day Suspension Gas Detection Cert (6/23/08)
30 day Suspension UG Miner Cert (6/23/08)
11 month Probation Surface Miner Cert (5/22/09)
11 month Probation Gas Detection Cert (5/22/09)
11 month Probation UG Miner Cert (5/22/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing twice per month for first four (4) months of probationary period and once every 30 days for remainder of the period

Suspension Completed:

Probation Completed:

Evaluation Completed: **YES – 4/16/08** (Group therapy recommended)

Counseling Completed:

Drug Policy Suspension

Josh E. Anderson

Suspended February 12, 2008

Settlement – April 18, 2008

Sanctions: 1 year Probation Surface Miner Cert (6/26/09)
1 year Suspension MET Cert (6/26/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first
three (3) months of probationary period and once
every 30 days for remainder of the period

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 4/24/08** (No treatment necessary)

Drug Policy Suspension

Matthew Terry

Martin

Suspended February 12, 2008

Settlement – May 8, 2008

Sanctions: 1 year Probation Surface Miner Cert (5/22/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first
three (3) months of probationary period and once
every 30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 5/12/08** (Group sessions
recommended)

Counseling Completed:

Drug Policy Suspension

Timothy Reed

Hazard

Suspended February 11, 2008

Settlement – March 13, 2008

Sanctions: 1 year Probation Surface Miner Cert (3/27/09)
1 year Probation UG Miner Cert (3/27/09)
1 year Suspension UG Mine Foreman Cert
(3/27/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first four
months of probationary period and once every 30
days for remainder of the period

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 3/21/08** (Counseling was
recommended)

Counseling Completed:

Drug Policy Suspension

Terrance M. Huff

Suspended February 11, 2008

Revocation UG Miner & MET Certs for one year (5/8/09)

Drug Policy Suspension

Chad Bentley

Suspended February 7, 2008

Revocation Surface & UG Miner Certs for not less than one year
(7/10/09)

| | | |
|------------------------|---|--------|
| Drug Policy Suspension | <u>Tommy Adcox</u> February 1, 2008 | |
| Drug Policy Suspension | <u>Jamie Darren Hacker</u> Suspended January 31, 2008 Settlement – April 18, 2008 Sanctions: 1 year Probation UG Miner Cert (5/22/09) 1 year Probation Gas Detection Cert (5/22/09) Provide proof of his enrollment in and attendance of rehabilitation, counseling or support group programs Submit to drug testing twice per month for first three (3) months or probationary period and once every thirty (30) days for remaining probationary period Probation Completed: Provided Counseling Info: YES (Twelve (12) weekly outpatient substance counseling sessions recommended) Counseling Completed: | Hazard |
| Drug Policy Suspension | <u>Brian K. Charles</u> Suspended January 29, 2008 Revocation Surface Miner Cert for one year (5/8/09) | |
| Drug Policy Suspension | <u>Matthew A. Cline</u> Suspended January 28, 2008 Revocation UG Miner Cert for one year (5/8/09) | |
| Drug Policy Suspension | <u>Rodney K. Justice</u> Suspended January 28, 2008 Revocation Surface Miner & Gas Detection Certs for one year (6/12/09) | |
| Drug Policy Suspension | <u>Richard Green</u> Suspended January 25, 2008 Permanent revocation Temp Surface Miner & UG Miner Certs (12/11/08) | |
| Drug Policy Suspension | <u>Ernest W. Helton</u> Suspended January 24, 2008 Revocation Temp UG & Surface Miner Certs for one year (6/12/09) | |
| Drug Policy Suspension | <u>Adam K. Rose</u> Suspended January 23, 2008 Revocation UG Miner Cert for not less than one year (8/14/09) | |
| Drug Policy Suspension | <u>Timothy Wayne Combs</u> Suspended January 11, 2008 Revocation Temp UG Miner & Surface Miner Certs for one year (5/8/09) | |

| | | |
|------------------------|---|--------|
| Drug Policy Suspension | <u>Emmanuel Salley</u> | Hazard |
| | Suspended January 3, 2008 | |
| | Settlement – February 14, 2008 | |
| | Sanctions: 1 year Probation UG Miner Cert (2/28/09) | |
| | 1 year Probation Surface Miner Cert (2/28/09) | |
| | Evaluation by an addiction professional and enroll in treatment/counseling, if necessary | |
| | Submit to drug testing once every 30 days | |
| | Probation Completed: | |
| | Evaluation Completed: YES – 2/22/08 (No treatment necessary) | |
| Drug Policy Suspension | <u>Joseph Carroll</u> | |
| | Suspended January 3, 2008 | |
| | Revocation UG Miner Cert for one year (5/8/09) | |
| Drug Policy Suspension | <u>Ronnie Caldwell</u> | |
| | Suspended December 27, 2007 | |
| | Revocation Surface Miner Cert for one year (6/12/09) | |
| Drug Policy Suspension | <u>David Bowling</u> | |
| | Suspended December 26, 2007 | |
| | Revocation Surface Miner & UG Miner Certs for one year (4/18/09) | |
| Drug Policy Suspension | <u>Chad Cupps</u> | |
| | Suspended December 26, 2007 | |
| | Revocation UG Miner, Surface Miner & Gas Detection Certs for one year (5/8/09) | |
| Drug Policy Suspension | <u>Joey Kelly</u> | |
| | Suspended December 26, 2007 | |
| | Revocation Temp Surface Miner & UG Miner Certs for one year (4/18/09) | |
| Drug Policy Suspension | <u>Jason Lawson</u> | Hazard |
| | Suspended December 26, 2007 | |
| | Settlement – March 13, 2008 | |
| | Sanctions: 1 year Probation UG Miner Cert (3/27/09) | |
| | Evaluation by an addiction professional and enroll in treatment/counseling, if necessary | |
| | Submit to drug testing twice every 30 days for first three (3) months of probation and once every 30 days | |
| | Probation Completed: | |
| | Evaluation Completed: YES – 3/24/08 (No treatment necessary) | |
| Drug Policy Suspension | <u>Jeramie W. McFall</u> | Hazard |
| | Suspended December 26, 2007 | |
| | Settlement – February 14, 2008 | |
| | Sanctions: 1 year Probation UG Miner Cert (2/28/09) | |
| | 1 year Probation Surface Miner Cert (2/28/09) | |

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice a month for first three
(3) months of probationary period and once every
30 days for remainder of probation

Probation Completed:

Evaluation Completed: **YES – 2/18/08** (No treatment necessary)

Drug Policy Suspension

Sherrell Sandlin

Suspended December 26, 2007

Revocation UG Miner Cert for one year (3/13/09)

Drug Policy Suspension

Kevin Slone

Suspended December 26, 2007

Revocation Temp Surface Miner & UG Miner Certs for one year
(5/8/09)

Drug Policy Suspension

Ronnie Mills

Suspended December 20, 2007

Revocation UG Miner & MET Certs for one year (4/18/09)

Drug Policy Suspension

Christopher Scott Middleton

Suspended December 20, 2007

Settlement – November 13, 2008

Sanctions: 1 year Probation UG Miner Cert (12/18/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first six
(6) months of probationary period and once every
30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 12/17/08** (No treatment necessary)

Drug Policy Suspension

Vealor Brown

Suspended December 20, 2007

Settlement – March 13, 2008

Sanctions: 1 year Probation UG Miner Cert (4/24/09)
1 year Probation Gas Detection Cert (4/24/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice a month for first three
(3) months of probationary period and once every
30 days for remainder of probation

Probation Completed:

Evaluation Completed: **YES – 2/8/08** (Treatment was necessary)

Treatment Completed:

Drug Policy Suspension

Emanuel Collins

Barbourville

Suspended December 17, 2007

Settlement – February 14, 2008

Sanctions: 1 year Probation UG Miner Cert (3/27/09)

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice a month for first three
(3) months of probationary period and once every
30 days for remainder of probation

Probation Completed:

Evaluation Completed: **YES – 3/21/08** (12 step support group
recommended)

Drug Policy Suspension

Bobby Gray

Suspended December 17, 2007

Revocation UG Miner & Gas Detection Certs for one year (5/8/09)

Drug Policy Suspension

James Davis

Suspended December 17, 2007

Revocation UG Miner & Gas Detection Certs for one year
(4/18/09)

Drug Policy Suspension

James Hughes

Suspended December 17, 2007

Revocation Surface Miner Cert for one year (5/8/09)

Drug Policy Suspension

Mitchell Spicer

Suspended December 17, 2007

Settlement – October 9, 2008

Sanctions: 1 year Probation Surface Miner Cert (10/23/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first four
(4) months of probationary period and once every
30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 10/20/08** (Treatment was
recommended)

Treatment Completed:

Drug Policy Suspension

Randolph Hardin

Suspended December 14, 2007

Revocation UG Miner & Shot Firer-Conventional for one year
10/9/09

Drug Policy Suspension

Daniel K. Collins

Suspended December 13, 2007

Revocation UG Miner Cert for one year (4/18/09)

Drug Policy Suspension

Hank Craft

Hazard

Suspended December 7, 2007

Settlement – February 14, 2007

Sanctions: 1 year Probation UG Miner Cert (2/28/09)
1 year Probation Gas Detection Cert (2/28/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Submit to drug/alcohol testing once every 30 days
Probation Completed:
Evaluation Completed: **YES – 2/18/08** (No treatment necessary)

Drug Policy Suspension

Johnny R. Halcomb
Suspended December 7, 2007
Permanent Revocation UG Miner Cert (7/10/08)

Drug Policy Suspension

James P. Owens
Suspended December 7, 2007
Revocation UG Miner, Temp Surface Miner & Gas Detection
Certs for one year (5/8/09)

Drug Policy Suspension

Randall E. Bowman Harlan
Suspended December 7, 2007
Settlement – January 10, 2008
Sanctions: 1 year Probation UG Miner Cert (1/24/09)
1 year Probation Gas Detection Cert (1/24/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice a month for first three
(3) months of probationary period and once every
30 days for remainder of probation
Probation Completed:
Evaluation Completed: **YES – 1/23/08** (No treatment necessary)

Drug Policy Suspension

David Tackett Pikeville
Suspended December 5, 2007
Settlement – February 14, 2008
Sanctions: 1 year Probation Surface Miner Cert (3/27/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice every 30 days for first
three (3) months of probation and once every 30
days
Probation Completed:
Evaluation Completed: **YES – 2/28/08** (Treatment was necessary)
Treatment Completed:

Drug Policy Suspension

Eric Holbrook
December 5, 2007

Drug Policy Suspension

David Kingrea
Suspended December 5, 2007
Revocation Surface Miner Cert for one year (3/13/09)

Drug Policy Suspension

Thomas E. Riley Hazard
Suspended November 30, 2007
Settlement – February 14, 2008
Sanctions: 1 year Probation Temp UG Miner Cert (2/28/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Submit to drug testing once every 30 days

Probation Completed:

Evaluation Completed: **YES – 2/26/08** (No treatment necessary)

Drug Policy Suspension

Steve Collins

Suspended November 29, 2007

Revocation UG Miner Cert for one year (3/13/09)

Drug Policy Suspension

Paul Turner

Suspended November 29, 2007

Surface Miner & Temp UG Miner Certs for one year (4/18/09)

Drug Policy Suspension

Robert Owens

Martin

Suspended November 29, 2007

Settlement – January 10, 2008

Sanctions: 1 year Probation Surface Miner Cert (1/24/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 1/23/08** (No treatment necessary)

Drug Policy Suspension

Allen Sturgeon

Suspended November 29, 2007

Revocation UG Miner, Temp Surface Miner & Gas Detection
Certs for one year (4/18/09)

Drug Policy Suspension

Jared Stanley

November 28, 2007

Drug Policy Suspension

Joseph R. Holtzclaw

Suspended November 27, 2007

Revocation Surface Miner & Temp UG Miner Certs for one year
(4/18/09)

Drug Policy Suspension

Barry Boyd

Suspended November 27, 2007

Revocation Surface Miner Cert for one year (3/13/09)

Drug Policy Suspension

Burnis Bentley

Suspended November 27, 2007

Revocation Surface Miner & Temp UG Miner Certs for one year
(3/13/09)

Drug Policy Suspension

Michael Lewis

Harlan

Suspended November 26, 2007

Settlement – April 18, 2008

Sanctions: 1 year Probation Surface Miner Cert (5/22/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing once every 30 days

Probation Completed:

Evaluation Completed: **YES – 5/20/08** (Treatment not necessary)

| | |
|------------------------|--|
| Drug Policy Suspension | <u>Rodney Oliver</u> Suspended November 26, 2007 Revocation Surface Miner, Temp UG Miner & Gas Detection Certs for one year (4/18/09) |
| Drug Policy Suspension | <u>Jody Duncan</u> Madisonville Suspended November 26, 2007 Settlement – January 10, 2008 Sanctions: 1 year Probation UG Miner Cert (1/24/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 1/14/08 (24 hour education program recommended) Education Program Completed: |
| Drug Policy Suspension | <u>Kyle L. Collett</u> Hazard Suspended November 26, 2007 Settlement – January 10, 2008 Sanctions: 1 year Probation UG Miner Cert (1/24/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 1/7/08 (Counseling was recommended) Counseling Completed: |
| Drug Policy Suspension | <u>Norman Chris Couch</u> Suspended November 20, 2007 Revocation Surface Miner Cert for one year (4/18/09) |
| Drug Policy Suspension | <u>Paul Wesley Cupp</u> Suspended November 20, 2007 Revocation Temp Surface Miner Cert for one year (4/18/09) |
| Drug Policy Suspension | <u>Dana Neil Howard</u> Suspended November 15, 2007 Revocation UG Miner & MET Certs for one year (4/18/09) |
| Drug Policy Suspension | <u>Bennett Matthew Kincer</u> Hazard Suspended November 15, 2007 Settlement – December 13, 2007 Sanctions: 1 year Probation Temp Surface Miner Cert (12/20/08) 1 year Probation UG Miner Cert (12/20/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary |

Submit to drug testing twice a month for first three
(3) months of probationary period and once every
30 days for remainder of probation

Probation Completed:

Evaluation Completed: **YES – 12/18/07** (NA Meetings were
recommended)

Drug Policy Suspension

David O. Thomason Madisonville

Suspended November 14, 2007

Settlement – January 10, 2008

Sanctions: 1 year Probation UG Miner Cert (2/28/09)

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Submit to drug testing twice a month for first three
(3) months of probationary period and once every
30 days for remainder of probation

Probation Completed:

Evaluation Completed: **YES – 1/21/08** (No treatment necessary)

Drug Policy Suspension

Mart T. Hall

Suspended November 14, 2007

Permanently Revoked UG Miner, Surface Miner & MET Certs
11/13/08

Drug Policy Suspension

Billy Joe Rogers Pikeville

Suspended November 14, 2007

Settlement – December 13, 2007

Sanctions: 1 year Probation UG Miner Cert (1/24/09)

1 year Suspension Shot Firer-Conventional
(1/24/09)

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Submit to drug testing once weekly for first three
(3) months, twice per month for second three (3)
months and then once every 30 days for remainder
of probationary period

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 12/27/07** (No treatment necessary)

Drug Policy Suspension

Brad Kanes

Suspended November 14, 2007

Revocation Temp UG Miner Cert for one year (3/13/09)

Drug Policy Suspension

Travis Gene Shortridge

Suspended November 7, 2007

Revocation UG Miner Cert for one year (4/18/09)

Drug Policy Suspension

Christopher Collier

Suspended November 7, 2007

Sanctions: 3 month Suspension UG Miner Cert (4/11/08)

1 year Probation UG Miner Cert (5/22/09)

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Submit to drug testing once every 30 days

Suspension Completed: **YES – 4/11/08**

Probation Completed:

Evaluation Completed: **YES – 4/28/08** (No treatment necessary)

Drug Policy Suspension

Isaac S. Fields

Hazard

Suspended November 7, 2007

Settlement – January 10, 2008

Sanctions: 1 year Probation UG Miner Cert (1/24/09)

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Submit to drug testing twice a month for first three
(3) months of probationary period and once every
30 days for remainder of probation

Probation Completed:

Evaluation Completed: **YES – 1/14/08** (NA meetings once a week
were recommended)

Drug Policy Suspension

Larry Coleman

Pikeville

Suspended November 2, 2007

Settlement – January 10, 2008

Sanctions: 1 year Probation UG Miner Cert (1/24/09)

1 year Probation Gas Detection Cert (1/24/09)

1 year Suspension MET Cert (1/24/09)

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Submit to drug and alcohol testing twice a month
for first three (3) months of probationary period and
once every 30 days for remainder of probationary
period

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 1/7/08** (Treatment was
recommended)

Treatment Completed: **YES – 4/21/08**

Drug Policy Suspension

Sheila Allen

Madisonville

Suspended November 1, 2007

Settlement – January 10, 2008

Sanctions: 1 year Probation UG Miner Cert (1/24/09)

1 year Probation Gas Detection Cert (1/24/09)

1 year Suspension Shot Firer-Conventional Cert
(1/24/09)

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Submit to drug testing every 30 days

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 1/7/08** (No treatment necessary)

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|------------------------|--|
| Drug Policy Suspension | <u>Gregory Scott Shepherd</u> Hazard Suspended November 1, 2007 Settlement – December 13, 2007 Sanctions: 1 year Probation UG Miner Cert (2/28/09) 1 year Probation Gas Detection Cert (2/28/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for first three months and once every 30 days for remainder of probationary period Probation Completed: Evaluation Completed: YES – 2/19/08 (Counseling was recommended) Counseling Completed: YES – 2/25/08 |
| Drug Policy Suspension | <u>Henry R. Jude</u> Suspended November 1, 2007 Revocation UG Miner & Gas Detection Certs for one year (3/13/09) |
| Drug Policy Suspension | <u>Keith Henson</u> Hazard Suspended October 31, 2007 Settlement – December 13, 2007 Sanctions: 1 year Probation Surface Miner Cert (12/20/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 12/13/07 (No treatment necessary) |
| Drug Policy Suspension | <u>Dustin A. Easley</u> Suspended October 29, 2007 Revocation UG Miner Cert for one year (3/13/09) |
| Drug Policy Suspension | <u>Matthew Potter</u> Hazard Suspended October 29, 2007 Settlement – January 10, 2008 Sanctions: 1 year Probation UG Miner Cert (1/24/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice a month for four (4) months of probationary period and once every 30 days for remainder of probation Probation Completed: Evaluation Completed: YES – 1/15/08 (Counseling was recommended) Counseling Completed: |
| Drug Policy Suspension | <u>Jerry Johnson</u> Martin Suspended October 29, 2007 Settlement – January 10, 2008 Sanctions: 1 year Probation UG Miner Cert (1/24/09) |

1 year Probation Surface Miner Cert (1/24/09)
1 year Probation Drill Operator Cert (1/24/09)
1 year Suspension Shot Firer-Solid Blasting Cert (1/24/09)
1 year Suspension Shot Firer-Conventional Cert (1/24/09)
Evaluation by an addiction professional and enroll in treatment/counseling, if necessary
Submit to drug testing every 30 days

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 1/16/07** (No treatment necessary)

Drug Policy Suspension

Jeremy Crain

October 17, 2007

Drug Policy Suspension

Rick Broughton

Hazard

Suspended October 17, 2007

Settlement – November 8, 2007

Sanctions:

1 year Probation Surface Miner Cert (12/20/08)

1 year Probation Temp UG Miner Cert (12/20/08)

1 year Probation Electrical Worker Cert (12/20/08)

1 year Suspension MET Cert (12/20/08)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing twice every 30 days for first four (4) months of probation and once every 30 days

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 11/27/07** (Completed 9 hours of education as recommended)

Drug Policy Suspension

Rodney Noble

Hazard

Suspended October 17, 2007

Permanent Revocation of Surface Miner Certification – July 10, 2008

Drug Policy Suspension

James Lee Noble

Suspended October 16, 2007

Revocation UG Miner & Temp Surface Miner Certs for one year (2/14/09)

Drug Policy Suspension

Alex M. Johnson, III

Suspended October 11, 2007

Revocation Temp UG Miner, Surface Miner & MET Certs 1/10/08

Drug Policy Suspension

Jeffrey O. Shepherd

October 9, 2007

Drug Policy Suspension

Paul D. Swanson

Suspended October 9, 2007

Revocation UG Miner & Gas Detection Certs 1/10/08

| | | |
|------------------------|--|-----------|
| Drug Policy Suspension | <u>Joseph M. Muncy</u> Suspended October 9, 2007 Revocation UG Miner Cert for one year (2/14/09) | |
| Drug Policy Suspension | <u>Robert J. Mullins</u> Suspended October 9, 2007 Revocation Surface Miner Cert for one year (3/13/09) | |
| Drug Policy Suspension | <u>Ronnie Sizemore</u> Suspended October 9, 2007 Settlement – December 13, 2007 Sanctions: 1 year Probation UG Miner Cert (2/28/09) 1 year Probation Gas Detection Cert (2/28/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 2/8/08 (Counseling was recommended) Counseling Completed: | Hazard |
| Drug Policy Suspension | <u>Clarence Ward</u> Suspended October 8, 2007 Settlement – November 8, 2007 Sanctions: 1 year Probation UG Miner Cert (11/15/08) 1 year Probation Gas Detection Cert (11/15/08) 1 year Suspension Shot Firer-Conventional Cert (11/15/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Suspension Completed: Evaluation Completed: YES – 11/14/07 (Counseling was recommended) Counseling Completed: YES – 2/1/08 | Pikeville |
| Drug Policy Suspension | <u>Zachary W. Collier</u> Suspended October 8, 2007 Revocation Surface Miner Cert for one year (2/14/09) | |
| Drug Policy Suspension | <u>Stephen K. Sizemore</u> Suspended October 3, 2007 Settlement – December 13, 2007 Sanctions: 1 year Probation UG Miner Cert (2/28/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 1/3/08 (No treatment necessary) | Hazard |

| | |
|------------------------|--|
| Drug Policy Suspension | <u>Larry D. Bard</u> Suspended October 3, 2007 Revocation UG Miner Cert for one year (3/13/09) |
| Drug Policy Suspension | <u>Rick J. Wright</u> Pikeville Suspended October 2, 2007 Settlement – November 8, 2007 Sanctions: 1 year Probation UG Miner Cert (11/15/08) 1 year Probation Temp Surface Miner Cert (11/15/08) 1 year Suspension MET Cert (11/15/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice a month for first 3 months of probationary period and every 30 days for remainder of probationary period Probation Completed: Suspension Completed: Evaluation Completed: YES – 11/12/07 (Treatment was necessary) Treatment Completed: |
| Drug Policy Suspension | <u>Howard Yonts</u> Hazard Suspended September 28, 2007 Settlement – December 13, 2007 Sanctions: 1 year Probation UG Miner Cert (1/24/09) 1 year Suspension Shot Firer-Conventional Cert (1/24/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Suspension Completed: Evaluation Completed: YES – 1/15/08 (No treatment necessary) |
| Drug Policy Suspension | <u>Darin K. Adams</u> Suspended September 28, 2007 Presented proof to Commission 12/11/08. Certificates reinstated, subject to continued probation and further settlement agreement terms. Sanctions: 1 year Probation Surface Miner Cert (12/11/09) Submit to drug testing twice per month for first 4 months of probationary period and once every 30 days for remainder of probationary period Probation Completed: |
| Drug Policy Suspension | <u>Jason Bradley Patrick</u> Suspended September 27, 2007 Settlement – January 10, 2008 Sanctions: 1 year Probation UG Miner Cert (3/19/09) Evaluation by an addiction professional or provide proof of completion of drug rehab program |

Submit to drug testing twice per month for first 3 months of probationary period and once every 30 days for remainder of probationary period

Probation Completed:

Completed Rehab: **YES** (Enrolled in counseling)

Counseling Completed:

Drug Policy Suspension

Tony Smith, Jr.

Suspended September 27, 2007

Revocation UG Miner Cert for one year (2/14/09)

Drug Policy Suspension

Damon D. Day

Suspended September 27, 2007

Revocation Temp UG Miner Cert for one year (2/14/09)

Drug Policy Suspension

Jacob K. Taylor

September 27, 2007

Drug Policy Suspension

James R. Tackett

Harlan

Suspended September 20, 2007

Settlement – January 10, 2008

Sanctions: 1 year Probation UG Miner Cert (3/27/09)

1 year Probation Surface Miner Cert (3/27/09)

1 year Probation Gas Detection Cert (3/27/09)

1 year Suspension MET Cert (3/27/09)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing twice every 30 days for first three (3) months of probation and once every 30 days

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 12/27/07** (No treatment necessary)

Drug Policy Suspension

Richard R. Wright

Martin

Suspended September 19, 2007

Settlement – November 8, 2007

Sanctions: 1 year Probation Surface Miner Cert (11/15/08)

Submit to drug testing every 30 days

Probation Completed:

Drug Policy Suspension

Earl Adkins, Jr.

Suspended September 19, 2007

Revocation UG Miner, Gas Detection & First Aid Certs for one year (4/18/09)

Drug Policy Suspension

Danny H. Asher

Hazard

Suspended September 17, 2007

Settlement – February 15, 2008

Sanctions: 1 year Probation Surface Miner Cert (3/27/09)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing once every 30 days

Probation Completed:

Evaluation Completed: **YES – 2/25/08** (No treatment necessary)

Drug Policy Suspension

Timothy Young

Suspended September 13, 2007

Revocation UG Miner and MET Certs for one year 10/9/09

Drug Policy Suspension

Robert Combs

Suspended September 13, 2007

Revocation UG Miner & Temp Surface Miner Certs for one year
(2/14/09)

Drug Policy Suspension

Jeff Steven Pratt

Suspended September 13, 2007

Revocation UG Miner Cert for one year (2/14/09)

Drug Policy Suspension

Quinton C. Combs Hazard

Suspended September 13, 2007

Settlement – November 8, 2007

Sanctions: 1 year Probation UG Miner Cert (12/20/08)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 12/19/07** (Counseling was
recommended)

Counseling Completed:

Drug Policy Suspension

Jason Elkins

Suspended September 12, 2007

Revocation UG Miner Cert for one year (4/18/09)

Drug Policy Suspension

Larry W. Cobb

Suspended September 5, 2007

Revocation UG Miner & Shot Firer-Conventional Certs for one
year (4/18/09)

Drug Policy Suspension

Paul D. Woods Pikeville

Suspended September 4, 2007

Settlement – November 8, 2007

Sanctions: 1 year Probation UG Miner Cert (12/20/08)
1 year Probation Drill Operator Cert (12/20/08)
1 year Probation First Aid Cert (12/20/08)
1 year Probation Gas Detection Cert (12/20/08)
1 year Suspension Shot Firer Cert (12/20/08)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing every 30 days

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES** – **11/1/07** (Counseling was recommended)

Counseling Completed: **YES** – **3/13/08**

Drug Policy Suspension

Christopher May

Suspended August 28, 2007

Revocation UG Miner Cert for one year (2/14/09)

Drug Policy Suspension

Jonathan Paul Harley McKenzie

Suspended August 27, 2007

Permanently Revoked Surface Miner & MET Certs (11/13/08)

Drug Policy Suspension

Charles Speer

Suspended August 22, 2007

Revocation UG Miner Cert for one year (2/14/09)

Drug Policy Suspension

Marvious (Lynn) Sturdivant Hazard

Suspended August 22, 2007

Settlement – February 14, 2008

Sanctions: 1 year Probation Surface Miner Cert (3/27/09)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing once every 30 days

Probation Completed:

Evaluation Completed: **YES** – **3/10/08** (Counseling recommended)

Counseling Completed:

Drug Policy Suspension

Larry Williams

Suspended August 22, 2007

Revocation UG Miner & Gas Detection Certs for one year (2/14/09)

Drug Policy Suspension

Gene Shearer

Suspended August 14, 2007

Revocation Surface Miner Cert for one year (5/8/09)

Drug Policy Suspension

Donnie M. Mosley

Suspended August 14, 2007

Revocation Surface Miner Cert for one year (2/14/09)

Drug Policy Suspension

Mickey Jackson

Suspended August 14, 2007

Settlement – November 8, 2007

Sanctions: 1 year Probation Surface Miner Cert (8/14/09)

1 year Probation Temp UG Miner Cert (8/14/09)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing twice per month for first three (3) months of probationary period and once every 30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 7/14/08** (Outpatient counseling recommended)

Counseling Completed:

Drug Policy Suspension

Mike Beverly

Suspended August 13, 2007

Revocation UG Miner Cert for one year (5/8/09)

Drug Policy Suspension

Paul T. Chaffin

Martin

Suspended August 13, 2007

Settlement – September 21, 2007

Sanctions: 1 year Probation Surface Miner Cert (1/24/09)

1 year Probation Gas Detection Cert (1/24/09)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 10/15/07** (8 weeks education/treatment recommended)

Education/Treatment Completed: **YES – 1/8/08**

Drug Policy Suspension

Ricky Howell

Barbourville

Suspended August 13, 2007

Settlement – September 21, 2007

Sanctions: 1 year Probation Surface Miner Cert (3/27/09)

1 year Probation UG Miner Cert (3/27/09)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 10/8/07**

Drug Policy Suspension

Daniel A. Bryant

Suspended August 8, 2007

Revocation Surface Miner Cert for one year 10/9/09

Drug Policy Suspension

French P. Holbrook

Hazard

Suspended August 8, 2007

Settlement – November 8, 2007

Sanctions: 1 year Probation UG Miner Cert (11/15/08)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 11/2/07** (Group meetings were recommended)

Drug Policy Suspension

Billy J. Bartley

Pikeville

Suspended August 7, 2007

Settlement – September 21, 2007

Sanctions: 1 year Probation UG Miner Cert (10/25/08)

1 year Probation First Aid Cert (10/25/08)

1 year Probation Gas Detection Cert (10/25/08)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 9/26/07** (Counseling sessions were recommended. On 10/22/07 he had attended all sessions required.)

Drug Policy Suspension

Danny J. Bentley

Suspended August 7, 2007

Revocation Temp UG Miner Cert for one year (2/14/09)

Drug Policy Suspension

Jonathan Case

Suspended August 2, 2007

Revocation UG Miner Cert for one year (4/18/09)

Drug Policy Suspension

Joshua R. Meade

Suspended – July 30, 2007

Settlement – January 10, 2008

Sanctions: 1 year Probation Surface Miner Cert (4/24/09)

1 year Probation UG Miner Cert (4/24/09)

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 1/9/07** (Treatment was necessary)

Treatment Completed: **YES – 4/15/08**

Drug Policy Suspension

Chadwick Fleming

Suspended July 30, 2007

Revocation UG Miner, Surface Miner, MET & Gas Detection
Certs for one year (4/18/09)

Drug Policy Suspension

Wiley Roberts

July 23, 2007

Drug Policy Suspension

Bobby Brown

Suspended July 23, 2007

Revocation Surface Miner Cert 12/13/07

Drug Policy Suspension

David M. Lester

Suspended July 16, 2007

Revocation Surface Miner Cert for one year (2/14/09)

Drug Policy Suspension

Tommy Cook

Suspended July 12, 2007

Revocation UG Miner, Surface Miner & Gas Detection Certs for
one year (2/14/09)

Drug Policy Suspension

John Acord

Suspended July 11, 2007

Settlement – August 14, 2008

Sanctions: 1 year Probation UG Miner Cert (8/14/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for first four
(4) months of probationary period and once every
30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES** – **8/25/08** (Treatment was
recommended)

Treatment Recommended:

Drug Policy Suspension Joshua H. Peck Martin

Drug Policy Suspension Brian Joe Morris Hazard

Suspended July 9, 2007

Settlement – March 13, 2008

Sanctions: 1 year Probation UG Miner Cert (3/27/09)
1 year Probation Surface Miner Cert (3/27/09)
1 year Suspension MET Cert (3/27/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice a month for first three
(3) months of probationary period and once every
30 days for remainder of probation

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES** – **3/11/08** (Counseling was
recommended)

Counseling Completed:

Drug Policy Suspension Bobby Greer
June 28, 2007

Drug Policy Suspension Joshua D. Johnson Hazard

Suspended June 28, 2007

Permanent Revocation UG Miner & Temp Surface Miner Certs
4/18/08

Drug Policy Suspension Greg Potter

Suspended June 28, 2007

Settlement – February 14, 2008

Sanctions: 1 year Probation Surface Miner Cert (2/28/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing once every 30 days

Probation Completed:

Evaluation Completed: **YES** – **2/26/08** (No treatment necessary)

Drug Policy Suspension Jonathan Fields

Suspended June 28, 2007

Permanent Revocation Surface Miner Cert 9/11/08

| | |
|------------------------|---|
| Drug Policy Suspension | <u>Jason T. Howard</u> Suspended June 28, 2007 Temp Surface Miner & Temp UG Miner Certs for one year (4/18/09) |
| Drug Policy Suspension | <u>Charles W. Vitatoe, Jr.</u> Suspended June 21, 2007 Revocation UG Miner Cert for one year (3/13/09) |
| Drug Policy Suspension | <u>Gary Adkins</u> Suspended June 19, 2007 Revocation Surface Miner Cert for one year (2/14/09) |
| Drug Policy Suspension | <u>Cory Leason+</u> Suspended June 14, 2007 Settlement – April 18, 2008 Sanctions: 1 year Probation Surface Miner Cert (4/24/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice a month for first three (3) months of probationary period and once every 30 days for remainder of probation Probation Completed: Evaluation Completed: YES – 4/17/08 (Counseling was recommended) Counseling Completed: |
| Drug Policy Suspension | <u>Shane H. Gambrel</u> Harlan Suspended June 14, 2007 Settlement – August 9, 2007 Sanctions: 1 year Probation UG Miner Cert (8/23/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 8/17/07 (No treatment necessary) |
| Drug Policy Suspension | <u>Gary Pendergrass</u> Suspended June 14, 2007 Revocation Temp UG & Surface Miner Certs for one year (5/8/09) |
| Drug Policy Suspension | <u>Anthony W. Adams</u> Suspended June 12, 2007 Settlement – August 9, 2007 Sanctions: 1 year Probation UG Miner Cert (6/26/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 6/10/08 (No treatment necessary) |
| Drug Policy Suspension | <u>Stephen M. Kilgore</u> Pikeville |

Suspended June 6, 2007
Settlement – July 12, 2007
Sanctions: 1 year Probation Surface Miner Cert (7/26/08)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing every 30 days
Probation Completed:
Evaluation Completed: **YES – 7/23/07** (Treatment was necessary)
Treatment Completed: **YES – 6/3/08**

Drug Policy Suspension Larry N. Tooley, Jr. Pikeville
Suspended June 6, 2007
Permanent Revocation Surface Miner Cert (8/14/08)

Drug Policy Suspension Gregory T. Francis
Suspended June 6, 2007
Revocation Surface Miner, Surface Mine Foreman, UG Miner, UG
Mine Foreman & Shot Firer-Conventional Certs for one year
(2/14/09)

Drug Policy Suspension Howie J. Young
Suspended May 31, 2007
Settlement – July 10, 2008
Sanctions: 1 year Probation Surface Miner Cert (9/11/09)
1 year Probation UG Miner Cert (9/11/09)
Provide proof of completion of a rehabilitation and
counseling program
Submit to drug testing twice a month for first four
(4) months of probationary period and once every
30 days for remainder of probation
Probation Completed:
Rehabilitation and Counseling Completed: **YES – 11/26/07**

Drug Policy Suspension Jeff Noble
Suspended May 29, 2007
Revocation Surface Miner Cert 12/13/07

Drug Policy Suspension Ralph Sparks Martin
Suspended May 25, 2007
Permanent revocation UG Miner, Surface Miner & Hoisting
Engineer Certs (11/8/07)

Drug Policy Suspension Jerry Morgan Pikeville
Suspended May 25, 2007
Permanent revocation UG Miner Cert (11/8/07)

Drug Policy Suspension Dimont Harris
Suspended May 21, 2007
Revocation UG Miner, UG Mine Foreman, Drill Operator, First
Aid, Gas Detection, Shot Firer-Conventional & Shot Firer-Solid
Blasting Certs (9/21/07)

| | | |
|------------------------|--|--------|
| Drug Policy Suspension | <u>Irvin Stacy</u> Suspended May 17, 2007 Revocation Surface Miner Cert 8/9/07 | |
| Drug Policy Suspension | <u>Jarrold L. Sergent</u> Suspended May 17, 2007 Settlement – July 12, 2007 Sanctions: 1 year Probation UG Miner Cert (8/23/08) Attend NAAA Meetings Submit to drug testing once per week for first three (3) months of probationary period and drug testing every 30 days for remainder of probationary period Probation Completed: | Hazard |
| Drug Policy Suspension | <u>Michael Anderson</u> Suspended May 17, 2007 Settlement – November 8, 2007 Sanctions: 1 year Probation UG Miner Cert (11/15/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 10/5/07 (Treatment was necessary) Treatment Completed: | Hazard |
| Drug Policy Suspension | <u>Danny Cole</u> Suspended May 17, 2007 Revocation UG Miner Cert (11/8/07) | |
| Drug Policy Suspension | <u>Doyle E. Gay</u> Suspended May 16, 2007 Settlement – September 21, 2007 Sanctions: 1 year Probation Temp Surface Miner Cert (9/27/08) 1 year Probation UG Miner Cert (9/27/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 7/18/07 (No treatment necessary) | Hazard |
| Drug Policy Suspension | <u>Robert Shockey</u> Suspended May 16, 2007 Revocation Temp Surface Miner & UG Miner Certs (9/21/07) | |
| Drug Policy Suspension | <u>William M. Back</u> Suspended May 11, 2007 Settlement – June 14, 2007 Sanctions: 1 year Probation UG Miner Cert (6/28/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary | Hazard |

| | |
|------------------------|--|
| | Submit to drug testing every 30 days |
| | Probation Completed: |
| | Evaluation Completed: YES – 6/25/07 (No treatment necessary) |
| Drug Policy Suspension | <u>Rocky Niece</u> Hazard Suspended May 10, 2007 Settlement – January 10, 2008 Sanctions: 1 year Probation UG Miner Cert (1/24/09) 1 year Probation Gas Detection Cert (1/24/09) Submit to drug testing every 30 days Probation Completed: |
| Drug Policy Suspension | <u>William P. Eldridge</u> Suspended May 8, 2007 Settlement – August 9, 2007 Sanctions: 1 year Probation UG Miner Cert (8/28/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days during the probationary period Probation Completed: Evaluation Completed: YES – 7/24/08 (Outpatient counseling recommended) Counseling Completed: |
| Drug Policy Suspension | <u>Matthew H. Childress</u> Madisonville Suspended May 7, 2007 Settlement – June 14, 2007 Sanctions: 1 year Probation UG Miner Cert (6/28/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 6/19/07 (No treatment necessary) |
| Drug Policy Suspension | <u>David C. Richardson</u> Suspended May 4, 2007 Settlement – July 12, 2007 Sanctions: Richardson did not submit proper material to the Board and was not reinstated. He has, however, continued his drug testing regimen and has operated as if the agreement were in effect. OSL will monitor his case for compliance through July 2008 and seek one extra drug test to ensure his compliance. Under these conditions the Board reinstated Richardson 4/24/08 |
| Drug Policy Suspension | <u>Jeffery Slone</u> May 4, 2007 |
| Drug Policy Suspension | <u>David T. McDaniels</u> Suspended May 4, 2007 |

Revocation Surface Miner & MET Certs (11/8/07)

| | |
|------------------------|---|
| Drug Policy Suspension | <u>Landon Collins</u> Hazard Suspended May 4, 2007 Settlement – June 14, 2007 Sanctions: 1 year Probation UG Miner Cert (6/28/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 6/22/07 (Counseling was recommended and Collins has enrolled) Counseling Completed: |
| Drug Policy Suspension | <u>Carroll Gene Harris</u> Suspended May 4, 2007 Revocation Surface Miner Cert (11/8/07) |
| Drug Policy Suspension | <u>Raleigh Keith Hensley</u> May 1, 2007 |
| Drug Policy Suspension | <u>Jesse D. Scott</u> Suspended May 1, 2007 Permanent Revocation UG Miner & Temp Surface Miner Certs (4/18/08) |
| Drug Policy Suspension | <u>David A. Laws</u> Suspended May 1, 2007 Revocation Surface Miner Cert 7/12/07 |
| Drug Policy Suspension | <u>Michael B. Peterson</u> Harlan Suspended April 17, 2007 Settlement – November 8, 2007 Sanctions: 1 year Probation UG Miner Cert (11/15/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 9/17/07 (No treatment necessary) |
| Drug Policy Suspension | <u>Richard L. Caudill</u> Madisonville Suspended April 17, 2007 Permanent Revocation UG Miner & Gas Detection Certs (8/14/08) |
| Drug Policy Suspension | <u>Jamie Tackett</u> Suspended April 17, 2007 Revocation Shot Firer-Conventional, Temp Surface Miner & Temp UG Miner Certs for one year (2/14/09) |
| Drug Policy Suspension | <u>Douglas Couch</u> Hazard Suspension April 12, 2007 |

Settlement – May 10, 2007 (Extend Settlement by Order of Commission 5/8/08)

Sanctions: 1 year Probation Surface Miner Cert (10/28/08)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice monthly

Probation Completed:

Evaluation Completed: **YES – 5/17/07** (No treatment necessary)

Drug Policy Suspension

Tracey Ratliff

April 10, 2007

Revocation General Blaster License, Surface Miner & Temp
Surface Miner Certs 6/14/07

Drug Policy Suspension

Joshua Stafford Pikeville

Suspended March 29, 2007

Settlement – May 10, 2007

Sanctions: 1 year Probation UG Miner Cert (6/28/08)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing twice per month for 3
months and every 30 days for remainder of
probationary period

Probation Completed:

Evaluation Completed: **YES – 5/16/07** (No treatment necessary)

Drug Policy Suspension

Christopher Dunn

Suspended March 27, 2007

Settlement – August 9, 2007

Sanctions: 1 year Probation UG Miner Cert (11/20/09)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing once every 30 days during
the probationary period

Probation Completed:

Evaluation Completed: **YES – 6/16/08** (No treatment necessary)

Drug Policy Suspension

Doug Begley

Suspended March 19, 2007

Revocation UG Miner, UG Mine Foreman, MET, Shot Firer-
Conventional, First Aid, Drill Operator & Gas Detection Certs
8/9/07

Drug Policy Suspension

James Cornett

Hazard

Suspended March 19, 2007

Settlement – May 10, 2007

Sanctions: 1 year Probation UG Miner Cert (11/15/08)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 5/22/07** (Counseling was recommended)

Drug Policy Suspension

Cova Nantz

Suspended March 19, 2007

Revocation UG Miner & Temp Surface Miner Certs (9/21/07)

Drug Policy Suspension

Nicholas William Smith

Suspended March 15, 2007

Revocation Temp UG Miner & Temp Surface Miner Certs for one year (2/14/09)

Drug Policy Suspension

Bobby Gene Charles, Jr.

Suspended March 15, 2007

Revocation Temp UG Miner & Surface Miner Certs 8/9/07

Drug Policy Suspension

Michael Sparks

Martin

Suspended March 15, 2007

Settlement – April 20, 2007

Sanctions: Sparks did not send requested information to be reinstated in April 2007. He has remained on suspension during that time, while believing that he had been reinstated. He produced twelve (12) negative drug tests during this time and did not violate any other conditions of his agreement. Therefore, Sparks will be reinstated without probation if his application is granted by the Board. Board reinstated Mr. Sparks 4/24/08.

Drug Policy Suspension

Timothy L. Sammons

Suspended March 13, 2007

Revocation UG Miner & MET Certs for one year (3/13/09)

Drug Policy Suspension

Robert W. Schmaltz Madisonville

Suspended March 9, 2007

Settlement – September 21, 2007

Sanctions: 1 year Probation UG Miner Cert (10/25/08)

1 year Probation Gas Detection Cert (10/25/08)

Evaluation by an addiction professional and enroll in treatment/counseling, if necessary

Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 10/22/07** (Group sessions were recommended and he has enrolled.)

Drug Policy Suspension

Kris Caudill

Hazard

Suspended March 9, 2007

Settlement – May 10, 2007

Sanctions: 1 year Probation Temp Surface Miner Cert (6/28/08)

1 year Probation UG Miner Cert (6/28/08)

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing every 30 days

Probation Completed:

Evaluation Completed: **YES – 5/21/07** (No treatment necessary)

Drug Policy Suspension

Adam L. Isaac

Suspended March 9, 2007

Revocation UG Miner Cert (9/21/07)

Drug Policy Suspension

Michael D. Hannah

March 8, 2007

Drug Policy Suspension

Joseph Cook

Suspended February 28, 2007

Revocation Temp UG Miner Cert 8/9/07

Drug Policy Suspension

Jeffery Steve Meadows

Settlement February 27, 2007

Settlement – November 8, 2007

Sanctions: 1 year Probation UG Miner Cert (2/28/09)

1 year Suspension Shot Firer-Conventional Cert
(2/28/09)

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Submit to drug testing once every 30 days

Probation Completed:

Suspension Completed:

Evaluation Completed: **YES – 1/31/08** (No treatment necessary)

Drug Policy Suspension

James E. Lewis

Suspended February 27, 2007

Revocation Surface Miner & Surface Mine Foreman Certs
(11/8/07)

Drug Policy Suspension

Claude W. Hall

Suspended February 27, 2007

Revocation Surface Miner Cert 8/9/07

Drug Policy Suspension

Jason Worley

Suspended February 23, 2007

Settlement – May 8, 2008

Sanctions: 1 year Probation UG Miner Cert (10/23/09)

1 year Probation Surface Miner Cert (10/23/09)

1 year Probation Gas Detection Cert (10/23/09)

Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary

Submit to drug testing twice per month for first four
(4) months of probationary period and once every
30 days for remainder of the period

Probation Completed:

Evaluation Completed: **YES – 6/4/08** (Treatment was necessary)

Treatment Completed:

| | |
|------------------------|---|
| Drug Policy Suspension | <u>Marcus E. Combs</u> Suspended February 23, 2007 Revocation Surface Miner Cert 8/9/07 |
| Drug Policy Suspension | <u>Alonzo F. Fugate</u> February 22, 2007 |
| Drug Policy Suspension | <u>Charles B. Sizemore</u> Suspended February 16, 2007 Permanent Revocation UG Miner & Surface Miner Certs 4/18/08 |
| Drug Policy Suspension | <u>Samuel Lee Napier</u> Hazard Suspended February 15, 2007 Settlement – November 8, 2007 Sanctions: 1 year Probation UG Miner Cert (1/24/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 12/20/07 (No treatment necessary) |
| Drug Policy Suspension | <u>Joshua James Ison</u> Pikeville Suspended February 15, 2007 Permanent Revocation UG Miner Cert 3/13/08 |
| Drug Policy Suspension | <u>Charlie Ward</u> Pikeville Suspended February 14, 2007 Permanent revocation drill operator, gas detection, shot firer- conventional, shot firer-sold, surface miner & UG miner Certs (12/11/08) |
| Drug Policy Suspension | <u>Chris W. Johnson</u> Suspended February 14, 2007 Revocation UG Miner Cert 5/10/07 |
| Drug Policy Suspension | <u>Albert J. Kilburn</u> Hazard Suspended February 14, 2007 Settlement – April 20, 2007 Sanctions: 1 year Probation UG Miner Cert (4/26/08) 1 year Probation Temp Surface Miner Cert (4/26/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 4/25/07 (No treatment necessary) |
| Drug Policy Suspension | <u>Cody L. Denton</u> Suspended February 13, 2007 Revocation UG Miner Cert 8/9/07 |

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| Drug Policy Suspension | <u>Robert F. Ellison</u> Hazard Suspended February 9, 2007 Sanctions: 1 year Probation Surface Miner Cert (9/27/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to alcohol testing every 30 days Probation Completed: Evaluation Completed: YES – 7/24/07 |
| Drug Policy Suspension | <u>Eddie D. Goley</u> Suspended February 8, 2007 Revocation UG Miner Cert 8/9/07 |
| Drug Policy Suspension | <u>James Housley</u> Suspended February 8, 2007 Revocation UG Miner, Surface Miner & Gas Detection Certs (9/21/07) |
| Drug Policy Suspension | <u>Shawn David Moore</u> Martin Suspended January 30, 2007 Settlement – September 21, 2007 Sanctions: 1 year Probation UG Miner Cert (10/25/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 10/3/07 (Counseling was recommended and he is attending.) |
| Drug Policy Suspension | <u>Michael Bentley</u> Suspended January 25, 2007 Revocation Surface Miner Cert 8/9/07 |
| Drug Policy Suspension | <u>Claude S. Sargent</u> Suspended January 24, 2007 Revocation Surface Miner Cert 4/25/07 |
| Drug Policy Suspension | <u>Jason Lee Johnson</u> Pikeville Suspended January 23, 2007 Settlement – May 10, 2007 Sanctions: 1 year Probation Temp Surface Miner Cert (6/28/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 5/22/07 (Counseling was recommended and Johnson has enrolled) Counseling Completed: YES – 5/29/08 |
| Drug Policy Suspension | <u>Ricky L. Smith</u> |

Drug Policy Suspension

Drug Policy Suspension

Drug Policy Suspension

Drug Policy Suspension

Drug Policy Suspension

Drug Policy Suspension

Drug Policy Suspension

Drug Policy Suspension

Michael Smith
Suspended January 4, 2007
Revocation UG Miner & Gas Detection Certs for one year
(2/14/09)

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| Drug Policy Suspension | <u>Walter Young</u> Suspended January 4, 2007 Revocation UG Miner & Surface Miner Certs for one year (3/13/09) |
| Drug Policy Suspension | <u>Michael Ray King</u> January 3, 2007 |
| Drug Policy Suspension | <u>Jason Pauley</u> Suspended January 3, 2007 Settlement – March 13, 2008 Sanctions: 1 year Probation UG Miner Cert (4/24/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice per month for 3 months and every 30 days for remainder of probationary period Probation Completed: Evaluation Completed: YES – 3/11/08 (Treatment was necessary) Treatment Completed: |
| Drug Policy Suspension | <u>Mark A. Preece</u> Suspended January 2, 2007 Revocation UG Miner Cert for one year (2/14/09) |
| Drug Policy Suspension | <u>Darryl O. McNary</u> Suspended December 22, 2006 Revocation UG Miner, Shot Firer & Gas Detection Certs (9/21/07) |
| Drug Policy Suspension | <u>Robert Charles Larsen</u> Suspended December 19, 2006 Revocation UG Miner Cert for one year 10/9/09 |
| Drug Policy Suspension | <u>Willis Lewis, Jr.</u> Suspended December 15, 2006 Revocation Surface Miner & Gas Detection Certs (11/8/08) |
| Drug Policy Suspension | <u>Anthony Gregory</u> Suspended November 7, 2006 Revocation of UG Miner Cert 5/10/07 |
| Drug Policy Suspension | <u>Richard D. Robinson, Jr.</u> Harlan Suspended December 14, 2006 Permanent Revocation UG Miner Cert 3/16/07 |
| Drug Policy Suspension | <u>Dustin M. Eddings</u> Suspended December 14, 2006 Revocation UG Miner Cert 8/9/07 |
| Drug Policy Suspension | <u>Terry Wayne Bevins</u> Suspended December 14, 2006 |

Revocation UG Miner, Electrical Worker, First Aid, Gas Detection, MET, Mine Foreman, Shot firer-conventional & Shot firer-solid blasting certificates 3/22/07

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| Drug Policy Suspension | <u>Donald R. Nantz</u> Harlan Suspended December 14, 2006 Permanent Revocation UG Miner's Cert 8/9/07 |
| Drug Policy Suspension | <u>William K. Jervis</u> Harlan Suspended December 12, 2006 Settlement – February 8, 2007 (Amended Settlement Agreement approved by Commission on 7/10/08 – extends probation for one more year) Sanctions: 1 year Probation UG Miner Cert (7/10/08) Submit to drug testing once every 30 days Probation Completed: |
| Drug Policy Suspension | <u>Scott C. Sutton</u> Suspended December 11, 2006 Revocation Surface Miner Cert 3/22/07 |
| Drug Policy Suspension | <u>Devin Newsome</u> Martin Suspended December 7, 2006 Settlement – December 13, 2007 Sanctions: 1 year Probation Surface Miner Cert (1/24/09) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 1/9/08 (No treatment necessary) |
| Drug Policy Suspension | <u>James Andrew Green</u> Suspended December 6, 2006 Revocation Temp Surface Miner Cert 8/9/07 |
| Drug Policy Suspension | <u>Timothy V. Hardy</u> Madisonville Suspended December 6, 2006 Permanent Revocation UG Miner & Gas Detection Certs 7/12/07 |
| Drug Policy Suspension | <u>Leslie Maynard</u> Suspended November 30, 2006 Revocation UG Miner Cert 3/22/07 |
| Drug Policy Suspension | <u>Shawn E. Ailiff</u> Suspended November 30, 2006 Revocation of Temp Surface Miner, Temp UG Miner and UG Miner Certs 5/10/07 |
| Drug Policy Suspension | <u>Mansford Gibson, Jr.</u> November 29, 2006 |
| Drug Policy Suspension | <u>Joshua R. Joseph</u> Hazard |

Suspended November 28, 2006
Permanently Revocation UG Miner Cert 7/12/07

Drug Policy Suspension

Jamie Osborne
Suspended November 17, 2006
Revocation UG Miner Cert 3/22/07

Drug Policy Suspension

Kyle Whitney Sammons
Suspended November 16, 2006
Revocation Surface Miner Cert 4/25/07

Drug Policy Suspension

Thomas N. Slone
Suspended November 16, 2006
Revocation UG Miner Cert 4/25/07

Drug Policy Suspension

Hearrel B. Ray
Suspended November 15, 2006
Revocation UG Miner & Temp Surface Miner Certs 4/25/07

Drug Policy Suspension

Jeremy Maxie
Suspended November 9, 2006
Revocation Temp Surface Miner Cert 3/22/07

Drug Policy Suspension

Nicholas M. Ward Pikeville
Suspended November 6, 2006
Settlement – April 20, 2007
Sanctions: 1 year Probation Surface Miner Cert (6/28/08)
Evaluation by an addiction professional and enroll
in treatment/counseling, if necessary
Submit to drug testing every 30 days
Probation Completed:
Evaluation Completed: **YES – 5/23/07** (Counseling was
recommended and Ward has enrolled and attending)
Counseling Completed:

Drug Policy Suspension

Brian S. Bowen
Suspended November 6, 2006
Revocation UG Miner, Gas Detection & Belt Examiner Certs for
one year 10/9/09

Drug Policy Suspension

Jim Yinger
Suspended November 2, 2006
Revocation UG Miner & Gas Detection Certs 4/25/07

Drug Policy Suspension

Lacy Pauley, Jr.
Suspended November 2, 2006
Revocation Surface Miner & Temp UG Miner Certs 4/25/07

Drug Policy Suspension

James Dean
October 26, 2006

Drug Policy Suspension

James Scott Pikeville

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| | <p>Suspended October 25, 2006 Permanent Revocation UG Miner, Gas Detection & Electrical Worker Certs (9/21/07)</p> |
| Drug Policy Suspension | <p><u>Kenneth R. Mounts</u> Suspended October 25, 2006 Revocation Surface Mine Foreman, Surface Miner, Gas Detection and MET Certs 3/22/07</p> |
| Drug Policy Suspension | <p><u>Charles Jason Cantrell</u> Suspended October 25, 2006 Revocation Surface Miner & Gas Detection Certs 4/25/07</p> |
| Drug Policy Suspension | <p><u>Anthony Bledsoe</u> Harlan Suspended October 23, 2006 Permanent Revocation Surface Miner & UG Miner Certs (9/21/07)</p> |
| Drug Policy Suspension | <p><u>Ross Ewing</u> Suspended October 23, 2006 Settlement – January 11, 2007 Sanctions: 1 year Probation UG Miner Cert (2/22/08) 1 year Probation Gas Detection Cert (2/22/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 1/25/07 (No treatment necessary)</p> |
| Drug Policy Suspension | <p><u>Jessie Creech</u> Harlan Suspended October 23, 2006 Permanently Revoked UG & Gas Detection Certs (6/12/08)</p> |
| Drug Policy Suspension | <p><u>Keith Tackett</u> Martin Suspended October 17, 2006 Permanent Revocation Surface Miner Cert 6/14/07</p> |
| Drug Policy Suspension | <p><u>Stephen Todd Maggard</u> Suspended October 17, 2006 UG Miner Cert reinstated 3/27/08</p> |
| Drug Policy Suspension | <p><u>Jason Maggard</u> Suspended October 13, 2006 Revocation UG Miner and Gas Detection Certs 3/22/07</p> |
| Drug Policy Suspension | <p><u>Troy A. Collett</u> Suspended October 13, 2006 Permanent Revocation Surface Miner Cert (8/14/08)</p> |
| Drug Policy Suspension | <p><u>Earl Caudill</u> Suspended October 13, 2006 Revocation UG Miner's Cert 2/12/07</p> |

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| Drug Policy Suspension | <u>Ernest Toler</u> Suspended October 12, 2006 Revocation UG Miner & Gas Detection Certs 4/25/07 |
| Drug Policy Suspension | <u>Roger Ben Tibbs</u> October 12, 2006 |
| Drug Policy Suspension | <u>Donald Hurt</u> Suspended October 12, 2006 Revocation UG Miner & Gas Detection Certs (9/21/07) |
| Drug Policy Suspension | <u>Ronald Jim Dean</u> Suspended October 9, 2006 Revocation Temp Surface Miner Cert 4/25/07 |
| Drug Policy Suspension | <u>Christopher M. Saylor</u> Suspended October 6, 2006 Revocation Surface Miner's Cert 4/25/07 |
| Drug Policy Suspension | <u>Teddy Green</u> Harlan Suspended October 5, 2006 Settlement – November 9, 2006 was extended through 8/31/08 Sanctions: 1 year Probation UG Miner Cert (8/31/08) 1 year Probation Gas Detection Cert (8/31/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing twice a month for 6 months then 30 days for remainder of probationary period Probation Completed: Evaluation Completed: YES – 2/7/07 (No treatment necessary) |
| Drug Policy Suspension | <u>Edison D. Garrett</u> Suspended October 5, 2006 Revocation Surface Miner, Surface Mine Foreman and MET Certs 3/22/07 |
| Drug Policy Suspension | <u>Roger Dean Little</u> Pikeville Suspended October 4, 2006 Permanently Revoked UG Miner Cert (6/12/08) |
| Drug Policy Suspension | <u>Jimmy A. McCary</u> Pikeville Suspended October 4, 2006 Revocation Temp UG Miner Cert & Surface Miner Cert on 2/12/07 |
| Drug Policy Suspension | <u>Michael Wayne Hayes</u> Suspended October 4, 2006 Revocation UG Miner Cert 3/22/07 |
| Drug Policy Suspension | <u>Terry G. Webb</u> Suspended September 29, 2006 Revocation UG Miner's Cert 2/12/07 |

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| Drug Policy Suspension | <u>David Prow</u> Suspended September 29, 2006 Revocation Surface Miner's Cert, UG Miner's Cert, Electrical & Gas Detection Cert 2/12/07 |
| Drug Policy Suspension | <u>Paul Edwards Bowens, Jr.</u> Pikeville Suspended September 29, 2006 Revocation Surface Miner's Cert on 1/16/07 |
| Drug Policy Suspension | <u>Henry R. Bentley, Jr.</u> Pikeville Suspended September 26, 2006 Settlement – February 9, 2007 Sanctions: 1 year Probation UG Miner Cert (6/28/08) Evaluation by an addiction professional and enroll in treatment/counseling, if necessary Submit to drug testing every 30 days Probation Completed: Evaluation Completed: YES – 2/12/07 (No treatment necessary) |
| Drug Policy Suspension | <u>Matthew D. Fluty</u> September 22, 2006 |
| Drug Policy Suspension | <u>Clayton Slone</u> Hazard Suspended September 21, 2006 Revocation UG Miner's Cert, Surface Miner's Cert, Gas Detection Cert 2/12/07 |
| Drug Policy Suspension | <u>Chris Borders</u> Suspended September 19, 2006 Settlement – October 12, 2006 Sanctions: 1 year probation UG Miner's Cert (10/26/07) 1 year probation Gas Detection Cert (10/26/07) Submit to drug testing once every 30 days Probation Completed: |
| Drug Policy Suspension | <u>Troy Belcher</u> Pikeville Suspended September 18, 2006 Revocation Temp Surface Miner's Cert 2/9/07 |
| Drug Policy Suspension | <u>Treavor Slone</u> Suspended September 13, 2006 Revocation UG Miner's Cert & Gas Detection Cert 2/12/07 |
| Drug Policy Suspension | <u>Roy Hunt</u> Suspended September 13, 2006 Permanent Revocation Surface Miner Cert (8/14/08) |
| Drug Policy Suspension | <u>John W. Wright</u> Suspended September 11, 2006 Revocation UG Miner's Cert 2/12/07 |

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| Drug Policy Suspension | <u>Christopher L. Brown</u> Harlan Suspended September 7, 2006 Permanent Revocation UG Miner's Cert (8/14/08) |
| Drug Policy Suspension | <u>Timothy N. Caudill</u> Suspended September 6, 2006 Permanent Revocation UG Miner & Temp Surface Miner Certs 3/16/07 |
| Drug Policy Suspension | <u>Ronnie Caldwell</u> Suspended September 1, 2006 Permanent Revocation of UG Miner's and Gas Detection Certs 5/10/07 |
| Drug Policy Suspension | <u>Jeffrey N. Eldridge</u> Suspended August 30, 2006 Revocation Surface Miner's Cert on 1/16/07 |
| Drug Policy Suspension | <u>Ronnie Engle</u> Suspended August 30, 2006 Permanent Revocation of UG Miner's Cert 5/10/07 |
| Drug Policy Suspension | <u>Johnny Ray James</u> Suspended August 29, 2006 Revocation UG Miner's Cert on 1/16/07 |
| Drug Policy Suspension | <u>William J. Russell</u> Suspended August 28, 2006 Revocation UG Miner's Cert on 1/16/07 |
| Drug Policy Suspension | <u>Richard F. Bray</u> August 28, 2006 |
| Drug Policy Suspension | <u>Ralph Hurley</u> Hazard Suspended August 25, 2006 Settlement – September 14, 2006 Hurley was permanently revoked 6/14/07. Hurley asked for a reconsideration 6/19/07. This matter was continued for various reasons. On 9/11/08, Commission extended Settlement Agreement with twice monthly drug testing. UG Miner, Drill Operator, Gas Detection, Conventional Shot Firer and Solid Blasting Shot Firer Certs on probation until 10/11/08. |
| Drug Policy Suspension | <u>Bruce Strunk</u> August 25, 2006 |
| Drug Policy Suspension | <u>Michael A. Clark</u> Harlan Suspended August 25, 2006 Settlement – September 28, 2006 Sanctions: 1 year Probation UG Miner's Cert (3/28/08) |

1 year Probation Temporary Surface Miner Cert
(3/28/08)

1 year Probation MET Cert (3/28/08)

Submit to drug testing once every 30 days

Probation Completed:

Drug Policy Suspension

Edgar Caudill, Jr.

Suspended August 24, 2006

Revocation UG Miner's Cert on 1/16/07

Drug Policy Suspension

Larry J. Robinson Pikeville

Suspended August 23, 2006

Permanently Revoked UG Miner & Temp Surface Miner Certs
(2/14/08)

Drug Policy Suspension

Anthony C. Caudill

Suspended August 23, 2006

Revocation UG Miner's Cert on 1/16/07

Drug Policy Suspension

Christopher S. Smith Hazard

Suspended August 17, 2006

Temp UG Miner Cert reinstated 3/28/08

Drug Policy Suspension

Marlin Williams Hazard

Suspended August 17, 2006

Permanent Revocation Surface Miner Cert 6/14/07

Drug Policy Suspension

John Inman

Suspended August 17, 2006

Permanent Revocation UG Miner & Gas Detection Certs 3/16/07

Drug Policy Suspension

Jackie S. Williams Hazard

Suspended August 17, 2006

Permanent Revocation Temp UG Miner Cert 6/14/07

Drug Policy Suspension

John M. Fraley Hazard

Suspended August 17, 2006

Revocation Temp Surface Miner's Cert 2/9/07

Drug Policy Suspension

Gary L. Pierce Madisonville

Suspended August 16, 2006

Settlement – November 9, 2006

Sanctions: 1 year probation UG Miner's Cert (1/4/08)

1 year probation Gas Detection Cert (1/4/08)

Submit to drug testing once every 30 days

Probation Completed:

Drug Policy Suspension

Tristan Keathley

Suspended August 16, 2006

Revocation Temporary Surface Miner's Cert 1/16/07

Drug Policy Suspension

Ricky Cantrell

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|------------------------|---|
| | Suspended August 16, 2006 Revocation Surface Miner's and MET Cert on 1/16/07 |
| Drug Policy Suspension | <u>Christopher L. Stamper</u> Madisonville Suspended August 16, 2006 Permanent Revocation UG Miner's Cert 8/9/07 |
| Drug Policy Suspension | <u>Madison Litteral</u> Suspended August 16, 2006 Revocation UG Miner's Cert on 1/16/07 |
| Drug Policy Suspension | <u>Jeffery Mulkey</u> Martin Suspended August 16, 2006 Permanent Revocation Surface Miner's Cert 7/12/07 |
| Drug Policy Suspension | <u>Rodney Stalker</u> Suspended August 16, 2006 Permanent Revocation of Surface Miner's Cert 5/10/07 |
| Drug Policy Suspension | <u>Arie D. Baisden</u> Suspended August 16, 2006 Revocation Surface Miner's Cert on 1/16/07 |
| Drug Policy Suspension | <u>Jerry Chaffins</u> Suspended August 16, 2006 Revocation Temporary UG Miner's and Surface Miner's Cert on 1/16/07 |
| Drug Policy Suspension | <u>Joshua D. Stidham</u> Suspended August 4, 2006 Permanent Revocation Surface Miner Cert, Temp UG Miner Cert 6/14/07 |
| Drug Policy Suspension | <u>Shade Campbell</u> Suspended August 4, 2006 Revocation Surface Miner's Cert on 1/16/07 |
| Drug Policy Suspension | <u>Michael S. Miller</u> Hazard Suspended August 4, 2006 Permanent Revocation Surface Miner Cert 6/14/07 |
| Drug Policy Suspension | <u>Michael Dunigan</u> Hazard Suspended August 2, 2006 Permanently Revoked Surface Miner & Temp UG Miner Certs (2/14/08) |
| Drug Policy Suspension | <u>Donald G. Combs</u> Hazard Suspended August 2, 2006 Permanently Revoked Surface Miner, MET, Surface Mine Foreman Certs (2/14/08) |
| Drug Policy Suspension | <u>Ronnie Shepherd</u> Hazard |

Suspended August 1, 2006
Revocation Temp UG Miner's Cert 2/12/07

Drug Policy Suspension Bryan Sprader
Suspended July 26, 2006
Revocation UG Miner Cert 3/22/07

Drug Policy Suspension William Alex Brock Harlan
Suspended July 24, 2006
Revocation UG Miner's Cert on 1/16/07

Drug Policy Suspension John Boggs Harlan
Suspended July 24, 2006
Settlement – August 24, 2006
Sanctions: 1 year probation Surface Miner's Cert (8/24/07)
 1 year probation MET Cert (8/24/07)
 1 year probation Surface MF Cert (8/24/07)
 1 year probation General Blaster's Cert (8/24/07)
 Submit to drug testing once every 60 days
Probation Completed:

Drug Policy Suspension Paul B. Holbrook
Suspended July 14, 2006

08-MSRC-128 David W. Johnson
Settlement – November 13, 2008
Sanctions: 5 year Probation Belt Examiner MN-005-90 (11/13/13)
 5 year Probation Electrical Worker Cert HD-018-08
 (11/13/13)
 5 year Probation Gas Detection Cert MN-077-90
 (11/13/13)
 5 year Probation UG Miner Cert MN-0544-89 (11/13/13)
 2 year Revocation UG Mine Foreman Cert A-75-98
 (2/28/10) (Johnson shall re-take training, pass exam and
 apply to Mining Board for reinstatement and re-
 certification. Upon revocation period completed and
 reinstatement and re-certification, Johnson's UG Mine
 Foreman Cert shall remain on probation during
 probationary period (11/13/13).)
 8 hours of special safety training w/in 45 days
Probation Completed:
Revocation Completed:
Training Completed:

08-MSRC-128 Chris Patton
Settlement – June 12, 2008
Sanctions: 1 year Probation Gas Detection Cert MN-217-94 (6/12/09)
 1 year Probation Shot Firer-Conventional Cert MN-046-94
 (6/12/09)
 1 year Probation UG Miner Cert MN-0351-93 (6/12/09)
 8 hours of special safety training w/in 45 days
Probation Completed:

Training Completed:

08-MSRC-128

David Lee Morgan

Settlement – June 12, 2008

Sanctions: 1 year Probation UG Miner Cert HN-0337-05 (6/12/09)
8 hours of special safety training w/in 45 days

Probation Completed:

Training Completed:

08-MSRC-128

Travis Wayne Pigg

Settlement – June 12, 2008

Sanctions: 1 year Probation Surface Miner Cert (6/12/09)
1 year Probation UG Miner Cert (6/12/09)
8 hours of special safety training w/in 45 days

Probation Completed:

Training Completed:

08-MSRC-111

Edward Brent Wolford

Settlement – December 11, 2008

Sanctions: 1 year Probation UG Miner Cert (12/11/09)
8 hours special safety training w/in 45 days (1/26/09)

Probation Completed:

Training Completed:

08-MSRC-111

Channon Adkins

Settlement – August 14, 2008

Sanctions: 1 year Probation Mine Foreman Cert A-158-00 (8/14/09)
8 hours of special safety training w/in 45 days (9/29/08)

Probation Completed:

Training Completed: **YES – 9/10/08**

08-MSRC-077

Phillip Ray

Settlement – August 14, 2008

Sanctions: 1 year Probation UG Mine Foreman Cert A-60-98
(8/14/09)
1 year Probation Shot Firer-Conventional Cert MN-018-01
(8/14/09)
1 year Probation Gas Detection Cert PE-106-90 (8/14/09)
8 hours of special safety training w/in 45 days (9/29/08)

Probation Completed:

Training Completed:

08-MSRC-047

Greg Davenport

Settlement – February 27, 2008

Sanctions: 1 year Probation Electrical Certificate (4/18/09)
8 hours of special safety training w/in 45 days (6/2/08)

Probation Completed:

Training Completed:

07-MSRC-198

Mike Pacholewaski

Settlement – July 10, 2008

Sanctions: 1 year Probation Mine Foreman Cert (7/10/09)
8 hours of special safety training w/in 45 days
Probation Completed:
Training Completed:

07-MSRC-197

Brian C. Adams

Settlement – December 13, 2007

Sanctions: 2 week Suspension UG Miner Cert (12/27/07)
2 week Suspension Surface Miner Cert (12/27/07)
3 year Probation UG Miner Cert (12/27/10)
3 year Probation Surface Miner Cert (12/27/10)
8 hours of special safety training w/in 45 days

Suspension Completed: **YES – 12/27/07**

Probation Completed:

Training Completed: **YES – 4/17/08**

07-MSRC-099

David C. Peters

Sanctions: 1 year Revocation Surface Mine Foreman Cert (S-9-73)
(11/13/09) must retake and pass examination as a surface
mine foreman for certificate to be reinstated
1 year Probation MET Cert (MN-301-97) (11/13/09)
1 year Probation Surface Miner Cert (11/13/09)

07-MSRC-088

Jerry L. Maggard

Sanctions: 1 year Revocation UG Mine Foreman Cert (2/14/09)
3 month Suspension UG Miner Cert (5/14/08)
3 month Suspension Shot Firer-Solid Blasting Cert
(5/14/08)
3 month Suspension Shot Firer-Conventional Cert
(5/14/08)
3 month Suspension Drill Operator Cert (5/14/08)
8 hours of special safety training w/in 45 days of
reinstatement

Revocation Completed:

Suspension Completed:

Training Completed:

07-MSRC-085

Ronnie Layne

Settlement – March 13, 2008

Sanctions: 3 month Probation Mine Foreman Cert (6/13/08)
8 hours special safety training w/in 45 days (4/28/08)

Probation Completed:

Training Completed:

07-MSRC-085

Kevin Hurley

Settlement – March 13, 2008

Sanctions: 6 month Probation Mine Foreman Cert (9/13/08)
8 hours special safety training w/in 45 days (4/28/08)

Probation Completed:

Training Completed:

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| 06-MSRC-018 | <u>William G. Dixon</u> Settlement – December 13, 2007 Sanctions: 1 year Suspension UG Mine Foreman Cert A-41-02 (12/13/08) 1 year Probation UG Miner Cert (12/13/08) 1 year Probation MET Cert 078-98 (12/13/08) 1 year Probation Belt Examiner Cert HD-004-93 (12/13/08) 8 hours special safety training w/in 45 days Suspension Completed: Probation Completed: Training Completed: YES – 1/29/08 |
| *06-MSRC-017 | <u>Gary Wayne Bentley</u> Settlement – February 14, 2008 Sanctions: Permanent Revocation MET Cert HN-067-04 Permanent Revocation UG Miner Cert Permanent Revocation Shot Firer-Conventional Cert HD-18-79 Permanently barred to license or operate a mine within Kentucky. |
| 06-MSRC-001 | <u>Clyde J. Dingess</u> Pikeville Settlement – April 13, 2006 Sanctions: 6 month Probation UG Mine Foreman Cert A-180-02 (10/13/06) Probation Completed: |
| 06-MSRC-002 | <u>Ray Lamb</u> Harlan Default – October 12, 2006 Sanctions: 2 year revocation UG Mine Foreman's Cert (10/12/08) 2 year probation UG Mine Shot Firer's Cert (10/12/08) 2 year probation UG Miner's Cert (10/12/08) After two year revocation period must retake and pass Kentucky Underground Mine Foreman Examination 8 hours special safety training w/in 45 days (11/27/06) Revocation Completed: Probation Completed: Exam: Training Completed: |
| 06-MSRC-003 | <u>Sonny Ward</u> Martin Revocation of UG Mine Foreman, UG Miner, Gas Detection, Drill Operator, Shot Firer-Conventional and Shot Firer-Solid Blasting Certificates 5/10/07 |
| 06-MSRC-004 | <u>Bobby Young</u> Hazard Settlement – July 13, 2006 Sanctions: 2 year Probation Electrical Worker's Cert HD-262-05 (7/13/08) 2 year Probation UG Coal Miner Cert (7/13/08) 2 year Probation UGM Foreman Cert A-205-2 (7/13/08) 2 year Probation Gas Detection Cert PE-119-93 (7/13/08) |

8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:

Training Completed:

06-MSRC-004

Michael P. Collins Hazard

Settlement – July 13, 2006

Sanctions: 2 year Probation Electrical Worker's Cert HD-277-05 (7/13/08)
2 year Probation UG Coal Miner Cert (7/13/08)
2 year Probation UGM Foreman Cert A-193-01 (7/13/08)
2 year Probation Gas Detection Cert HD-007-04 (7/13/08)
8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:

Training Completed:

06-MSRC-004

Jerry Oliver Hazard

Settlement – July 13, 2006

Sanctions: 2 year Probation UGM Foreman Cert A-25-90 (7/13/08)
2 year Probation UG Miner Cert (7/13/08)
2 year Probation Shot Firer-Conventional Cert HD-26-90 (7/13/08)
2 year Probation MET Cert HD-277-97 (7/13/08)
2 year Probation Gas Detection Cert HD-51-88 (7/13/08)
8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed:

Training Completed:

06-MSRC-007

Steven R. Lester Pikeville

Default – May 16, 2006

Sanctions: 2 year Probation Electrical Cert PE-506-02 (5/16/08)
2 year Probation Gas Detection Cert (5/16/08)
2 year Probation UG Mine Cert PE-187-95 (5/16/08)
8 hour special safety training w/in 45 days @OMSL
Pikeville Office (6/30/06)

Training Completed:

Probation Completed:

06-MSRC-007

Mickey Stapleton Pikeville

Default – May 16, 2006

Sanctions: 1 year Revoked UGM Foreman's Cert A-152-92 (5/16/07)
2 year Probation UGM Cert (5/16/08)
2 year Probation Shot Firer-Solid Blasting Cert PE-60-82M (5/16/08)
2 year Probation Shot Firer-Conventional Cert PE-29-82G (5/16/08)
2 year Probation Gas Detection Cert MN-391-80 (5/16/08)
2 year Probation Drill Operator Cert PE-37-82M (5/16/08)
8 hour special safety training w/in 45 days @OMSL
Pikeville Office (6/30/06) and re-take and pass UGM
Foreman's exam to be re-certified as UGM Foreman

Training Completed:

Revocation Period Completed:

Probation Completed:

Exam Passed:

06-MSRC-008

Ronald Bartley

Pikeville

Settlement – July 13, 2006

Sanctions: 1 year Probation Mine Foreman Cert (7/13/07)
1 year Probation Drill Operator Cert (7/13/07)
1 year Probation Electrical Cert (7/13/07)
1 year Probation Gas Detection Cert (7/13/07)
1 year Probation MET Cert (7/13/07)
1 year Probation Shot Firers-Solid Blasting Cert (7/13/07)
8 hour Special Safety Training

Probation Completed:

Training Completed:

06-MSRC-008

James Hager

Pikeville

Default – May 30, 2006

Sanctions: 3 year Revocation Mine Foreman Cert A-12-02 (3/26/10)
5 year Probation Surface Mining Cert (3/26/12)
5 year Probation UG Miner Cert (3/26/12)
5 year Probation Shot Firer Cert (3/26/12)
5 year Probation MET Cert (3/26/12)
5 year Probation Gas Detection Cert (3/26/12)
8 hours Special Safety Training

Revocation Completed:

Probation Completed:

Training Completed:

06-MSRC-012

Tim Brock

Hazard

Settlement – August 11, 2006

Sanctions: 6 month Probation Mine Foreman Cert (2/11/07)
8 hour Special Safety Training w/in 45 days (9/25/06)

Probation Completed: **YES – 2/11/07**

Training Completed: **YES – 3/22/07**

06-MSRC-012

Gary Simpson

Hazard

Settlement – July 13, 2006

Sanctions: 6 month Probation UGM Foreman Cert (1/13/07)

Probation Completed: **YES – 1/18/07**

06-MSRC-012

Arvil Campbell

Hazard

Settlement – July 13, 2006

Sanctions: 6 month Probation UGM Foreman Cert (1/13/07)
8 hour Special Safety Training

Probation Completed: **YES – 1/18/07**

Training Completed: **YES – 6/30/06**

06-MSRC-012

Shannon Hurt

Hazard

Default – July 13, 2006

Sanctions: 6 month Probation Electrical Cert HD-305-84 (1/13/07)
1 year Probation Surface Miner's Cert (7/13/07)
8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed: **YES – 7/13/07**

Training Completed: **YES – 2/27/07**

06-MSRC-013

Robert Stanley Smith Hazard

Settlement – September 14, 2006

Sanctions: 2 year Revocation Mine Foreman's Cert (9/14/08)
2 year Revocation Gas Detection Cert (9/14/08)
2 year Revocation Shot Fire Solid Blasting Cert (9/14/08)
2 year Revocation Short Firer Conventional Cert (9/14/08)
2 year Revocation Drill Operator Cert (9/14/08)
2 year Probation UG Miner's Cert (9/14/08)
8 hour Special Safety Training w/in 45 days (10/30/06)

Revocation Completed:

Probation Completed:

Training Completed: **Yes – 10/27/06**

06-MSRC-014

David Chester Bevins Pikeville

Default – July 13, 2006

Sanctions: 1 year Probation Surface Miner's Cert (7/13/07)
1 year Probation UGM Cert (7/13/07)
6 month Probation Gas Detection Cert PE-672-82 (1/13/07)
8 hour Special Safety Training w/in 45 days (8/28/06)

Probation Completed: **YES – 7/18/07**

Training Completed: **YES – 8/30/06**

06-MSRC-016

Jeffery D. McGuire Martin

Settlement – July 13, 2006

Sanctions: 1 year Suspension Miner Foreman's Cert (7/13/07)
1 year Probation UG Coal Miner Cert (7/13/07)
1 year Probation Drill Operator Cert (7/13/07)
1 year Probation Electrical Worker's Cert (7/13/07)
1 year Probation Shot Firers Cert (7/13/07)
1 year Probation Shot Firers-Solid Blasting Cert (7/13/07)
8 hour Special Training w/in 45 days (8/28/06)

Suspension Completed: **YES – 7/13/07**

Probation Completed: **YES – 7/13/07**

Training Completed: **YES – 8/11/06**

06-MSRC-032

Harold David Mosley Martin

Default – October 12, 2006

Sanctions: 2 year suspension Mine Foreman Cert (10/12/08)
2 year probation Mining Instructors UG Cert (10/12/08)
2 year probation Gas Detection Cert (10/12/08)
2 year probation Drill Operator's Cert (10/12/08)
2 year probation Electrical Worker's Cert (10/12/08)
2 year probation EMT Cert (10/12/08)
2 year probation MET Cert (10/12/08)
2 year probation Shot Firer Solid Blasting Cert (10/12/08)
2 year probation Shot Firer Conventional Cert (10/12/08)
8 hours special safety training w/in 45 days (11/27/06)

Suspension Completed:

Probation Completed:

Training Completed: **YES – 12/01/06**

| | | |
|-------------|--|--------------|
| 06-MSRC-032 | <u>Alvin Noble</u> | Martin |
| | Default – October 12, 2006 | |
| | Revocation UG Miner, UG Mine Foreman, Assistant Mine Foreman, Drill Operator, First Aid, Gas Detection, MET, Shot Firer-Conventional, Shot Firer-Solid Blasting & Surface Mine Foreman Certs 3/22/07 | |
| 06-MSRC-032 | <u>Jeffrey McGuire</u> | Martin |
| | Settlement – October 12, 2006 | |
| | Sanctions: Permanent revocation Mine Foreman's Cert | |
| | 3 year suspension Drill Operator's Cert (10/12/09) | |
| | 3 year suspension Gas Detection Cert (10/12/09) | |
| | 3 year suspension Shot Firer Conventional Cert (10/12/09) | |
| | 3 year suspension Shot Firer Solid Blasting Cert (10/12/09) | |
| | 4 year probation Electrical Worker's Cert (10/12/10) | |
| | Suspension Completed: | |
| | Probation Completed: | |
| 06-MSRC-061 | <u>Robert Griffin</u> | Barbourville |
| | Settlement – December 14, 2006 | |
| | Sanctions: 1 year Revocation Surface Miner Cert (5/10/08) | |
| | 8 hours of special safety training w/in 45 days of his application for recertification | |
| 06-MSRC-074 | <u>Terry Sturgill</u> | Martin |
| | Settlement – January 9, 2007 | |
| | Sanctions: 1 year Probation UG Miner Cert (6/14/08) | |
| | 8 hours of Special Safety Training w/in 45 days | |
| | Probation Completed: | |
| | Training Completed: YES – 2/23/07 | |
| 06-MSRC-074 | <u>Nathan Sturgill</u> | Martin |
| | Settlement – January 9, 2007 | |
| | Sanctions: 1 year Probation UG Miner Cert (6/14/08) | |
| | 8 hours of Special Safety Training w/in 45 days | |
| | Probation Completed | |
| | Training Completed: YES – 2/23/07 | |
| 06-MSRC-073 | <u>Pat R. Childers</u> | Pikeville |
| | Settlement – January 10, 2008 | |
| | Sanctions: 6 month probation UG Mine Foreman Cert A-188-83 (7/10/08) | |
| | 8 hour Special Safety Training w/in 60 days | |
| | Probation Completed: YES – 7/10/08 | |
| | Training Completed: YES – 7/30/08 | |
| 06-MSRC-073 | <u>James Byrd</u> | Pikeville |
| | Settlement – September 21, 2007 | |
| | Sanctions: 2 year Probation UG Mine Foreman Cert A-132-02 (9/21/09) | |
| | 2 year Probation UG Miner Cert (9/21/09) | |

2 year Probation Shot Firer-Conventional Cert PE-002-02
(9/21/09)

2 year Probation Shot Firer-Solid Blasting and Drill
Operator Cert PE-011-02 (9/21/09)

2 year Probation Gas Detection Cert PE-089-94 (9/21/09)

Probation Completed:

05-MSRC-001

Jerry L. Morman Pikeville

Default—May 26, 2005

Sanctions: 1 year Probation UG Miner Cert (5/26/06)
8 hr Special Safety Training w/in 45 days (7/10/05)

Training Completed:

Probation Completed: **YES – 5/26/06**

05-MSRC-002

Alan B. Pigman Martin

Settlement—April 19, 2005

Sanctions: Indefinite Probation of Temp UG Miner
Cert. # MN-0164-03 until 40 hr inexperienced miner
training and pass UG Miner Exam w/in 120 days (8/17/05)
and probation for 1 year upon passing and receiving
Permanent UG Miner Exam

Training Completed: **YES - 8/3/05**

Exam passed:

Probation Completed:

05-MSRC-003

Charles Vanderpool Martin

Settlement—April 19, 2005

Sanctions: 1 year Probation UG Miner Cert (4/19/06)
8 hr special safety training w/in 45 days (6/3/05)

Training Completed:

Probation Completed: **YES – 4/19/06**

05-MSRC-004

Simon Ratliff

On Appeal to FCC

05-MSRC-005

Alger Jent Hazard

Settlement – September 14, 2006

Sanctions: 2 year Probation UG Mine Foreman's Cert A-792-82
(9/14/08)
2 year Probation Assistant UG Mine Foreman's Cert A-
173-78 (9/14/08)

Probation Completed:

05-MSRC-005

Jerry D. Morgan Hazard

Settlement—March 24, 2005

Sanctions: 1 year Probation of Elect. Cert PE-208-03 (3/24/06)
4-8 hr electrical training with D.Johnson w/in 45
days 120 days (7/22/05) to take and pass Electrical

Exam Training Completed: **YES**

Exam passed: **YES – 4/26/06**

Probation completed: **YES – 3/24/06**

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|-------------|--|--------------|
| 05-MSRC-005 | <u>James E. Byrd</u> | Hazard |
| | Settlement—October 11, 2005 | |
| | Sanctions: 2 year Probation UG Miner Cert A-138-02 (10/11/07) 120 days (2/8/06) to take and pass UGMF exam | |
| | Exam passed: YES - 2/23/06 | |
| | Probation completed: | |
| 05-MSRC-006 | <u>Dennis Jewell</u> | Martin |
| | Settlement—May 16, 2005 | |
| | Sanctions: 1 year Probation Electrician Cert. and UG Miner Cert. (5/16/06) 8 hr special safety training w/in 45 days (6/30/05) | |
| | Training completed: YES - 6/29/05 | |
| | Probation completed: YES – 5/16/06 | |
| 05-MSRC-007 | <u>Hobert Hoskins</u> | Hazard |
| | Default—November 10, 2005 | |
| | Sanction: 60 day Suspension UGM cert A-51-00 (1/9/06) 8 hr special safety training w/in 45 days (12/25/05) | |
| | Suspension completed: YES - 1/9/06 | |
| | Training completed: | |
| 05-MSRC-007 | <u>Rodney Morgan</u> | |
| | Settlement—January 4, 2006 | |
| | Sanctions: 1 year Probation UG Miner Cert (1/4/07) | |
| | Probation completed: | |
| 05-MSRC-008 | <u>Larry Chapman</u> | Hazard |
| | Settlement—May 23, 2005 | |
| | Sanctions: 1 year Revocation Electrical Cert PE-205-04 (5/23/06) 1 year Probation UG Miner Cert (5/23/06) 4-8 hours special electrical training w/in 60 days (7/22/05) | |
| | Training completed: YES - 6/13/05 | |
| | Revocation completed: YES – 5/23/06 | |
| | Probation completed: YES – 5/23/06 | |
| 05-MSRC-009 | <u>Lonnie Rice</u> | Barbourville |
| | Settlement – December 14, 2006 | |
| | Sanctions: 6 month Probation UG Mine Foreman Cert (6/14/07) 6 month Probation Shot Firer-Conventional Cert (6/14/07) 6 month Probation Electrical Worker Cert (6/14/07) 6 month Probation Surface Mine Foreman Cert (6/14/07) 6 month Probation UG Miner Cert (6/14/07) 6 month Probation Surface Miner Cert (6/14/07) 8 hours special safety training w/in 120 days (4/13/07) | |
| | Probation Completed: | |
| | Training Completed: | |
| 06-MSRC-009 | <u>Floyd Hibbard</u> | Barbourville |
| | Settlement – December 14, 2006 | |
| | Sanctions: 2 year Probation UG Mine Foreman Cert (12/14/08) | |

2 year Probation Belt Examiner Cert (12/14/08)
2 year Probation UG Miner Cert (12/14/08)
8 hours special safety training w/in 120 days (4/13/07)

Probation Completed:

Training Completed: **YES – 2/27/07**

*05-MSRC-012

Ann Epperson Barbourville

Settlement—February 9, 2006

Sanctions: Permanent Revocation of Surface Mine Instructor
Cert SI-127-94

1 year Probation MET HN-054-97 (2/9/07)

1 year Probation Surface Mine Foreman S-204-94
(2/9/07)

1 year Probation Surface Miner Cert (2/9/07)

Probation completed: **YES – 2/9/07**

05-MSRC-010

Conley Horn

Settlement – July 10, 2008

Sanctions: 1 year Probation UG Miner Cert (7/10/09)

Probation Complete:

05-MSRC-010

Darrell Banks

Settlement – March 13, 2008

Sanctions: 1 year Probation UG Mine Foreman Cert A-200-89
(3/13/09)

1 year Probation Shot Firer-Conventional Cert HD-002-84
(3/13/09)

1 year Probation MET MN-055-97 (3/13/09)

1 year Probation Gas Detection Cert MN-244-83 (3/13/09)

1 year Probation UG Miner Cert (3/13/09)

Probation Completed:

05-MSRC-010

Onis R. Smith

Settlement – March 13, 2008

Sanctions: 1 year Probation Gas Detection Cert PE-320-81 (3/13/09)

1 year Probation UG Miner Cert (3/13/09)

Probation Completed:

04-MSRC-001

Marty Helton

Harlan

Settlement—March 31, 2004

Sanctions: Indefinite Suspension of UMGF Cert A-80-93 upon
taking 8 hr safety training & taking and passing UGMF
exam

Indefinite Suspension of Electrician Cert HN-184-00 upon
taking 4 hr electrical training from chief electrical inspector
and passing electrical exam

Indefinite Suspension of UG Mine Instructor MI-3-00 until
take and pass UGMF exam

Training completed: **YES - —5/27/04 (Safety Training)**

YES - 12/21/04 (Electrical Training)

Exam passed: **YES - 6/2/04 UGMF exam**

| | | |
|--------------|---|--------------|
| 04-MSRC-002 | <u>Willie "Tick" Sizemore</u> | Barbourville |
| | On appeal to FCC 05-CI-1408 | |
| 04-MSRC-002 | <u>Jimmy Morgan</u> | Barbourville |
| | Settlement—March 18, 2004 | |
| | Sanctions: 6 month Suspension UGMF cert A-151-97 (9/21/04) | |
| | 8 hr safety training w/in 30 days (4/18/04) | |
| | Training completed: Yes – 5/24 & 5/25/04 | |
| | Suspension period completed: YES - 9/21/04 | |
| 04-MSRC-002 | <u>Floyd Hibbard</u> | Barbourville |
| | Settlement—June 16, 2004 | |
| | Sanctions: 9 month Probation UGMF A-778-81 (3/16/05) | |
| | 8 hr safety training w/in 30 days (7/16/04) | |
| | Training completed: Yes – 3/31/06 | |
| | Probation completed: YES - 3/16/05 | |
| 04-MSRC-003 | <u>Donavan Asher</u> | |
| | Settlement—April 14, 2004 | |
| | Sanctions: 1 year Probation UGMF A-6-97 (4/14/05) | |
| | Attend UGMF class (Barbourville or Hazard | |
| | and pass UGMF exam w/in 1 year (4/14/05) | |
| | Training completed: | |
| | Exam passed: | |
| 04-MSRC-004 | <u>Jeffery Ambrose</u> | Hazard |
| | Settlement—May 19, 2004 | |
| | Sanctions: Indefinite Suspension of UGMF A-156-96 upon taking | |
| | and passing UGMF exam & 1 year Probation from the | |
| | date that he passes UGMF exam & prohibited from | |
| | taking MET exam for 2 years. | |
| | Exam passed: took UGMF class but FAILED exam | |
| | Probation completed: | |
| *04-MSRC-008 | <u>Terry Triplett</u> | |
| | Settlement—September 2, 2004 | |
| | Sanctions: Permanent Revocation Surface Mine Instructor | |
| | SI-5-98 | |
| | Permanent Revocation MET Cert WI-012-98 | |
| | 1 year Suspension UGMF A-194-86 | |
| | Suspension period completed: YES | |
| 04-MSRC-010 | <u>Robert Dotson</u> | Martin |
| | Settlement—November 23, 2004 | |
| | Sanctions: 18 month Probation UGM cert (related prior offense = | |
| | 03-MSRC-017) (5/23/06) | |
| | 8 hr special safety training w/in 35 days (12/28/04) | |
| | Training Completed: YES - 1/6/05 | |
| | Probation completed: YES - 5/23/06 | |
| 04-MSRC-010 | <u>Paul J. Cochran</u> | Harlan |
| | Settlement—August 19, 2004 | |

Sanctions: 3 year Probation UGM cert (prohibited from taking UGMF exam while on probation) (8/19/07)
8 hr special safety training w/in 45 days (10/3/04)
Training completed:
Probation completed:

04-MSRC-011 Raymond Little, Jr. Martin
Settlement—April 18, 2005
Sanctions: 1 year Probation UGMF cert A-48-82 (4/18/06)
1 year Probation Shot Firer Cert MN-028-80 (4/18/06)
1 year Probation UGM cert (4/18/06)
8 hr safety training w/in 45 days (6/2/05)
Training completed: **YES - 6/29/05**
Probation completed: **YES - 4/18/06**

04-MSRC-011 Gary L. Meyers Martin
Settlement—April 18, 2005
Sanctions: 1 year Probation Shot Firer MN-60-82 (4/18/06)
1 year Probation UGM cert (4/18/06)
8 hr safety training for Blasters w/in 45 days (6/2/05)
take & pass Blaster exam w/in 120 days (8/16/05)
Training completed: **YES - 6/29/05**
Exam passed:
Probation completed: **YES - 4/18/06**

04-MSRC-011 Tommy Osborne Martin
Settlement—May 2, 2005
Sanctions: 1 year Probation Shot Firer Cert MN-002-01 (6/2/06)
1 year Probation UGM cert (6/2/06)
8 hr blaster safety training w/in 45 days (6/16/05)
take & pass Blaster exam w/in 120 days (8/30/05)
Training completed: **YES - 7/11/05**
Exam passed:
Probation completed: **YES - 6/2/06**

04-MSRC-011 John H. Mullins Martin
Settlement—April 18, 2005
Sanctions: 1 year Probation Shot Firer cert MN-014-96 (4/18/06)
1 year Probation UGM cert (4/18/06)
8 hr blaster safety training w/in 45 days (6/2/05)
take & pass Blaster exam w/in 120 days (8/16/05)
Training completed: **YES - 6/29/05**
Exam passed:
Probation completed: **YES - 4/18/06**

04-MSRC-011 Hubert Thomas Martin
Settlement—May 26, 2005
Sanctions: 1 year Probation Drill Operator license (5/26/06)
1 year Probation Shot Firer HD-026-83 (5/26/06)
1 year Probation UGM cert (5/26/06)
8 hr blaster safety training w/in 45 days (7/10/05)
take & pass Blaster exam w/in 120 days (9/23/05)

Training completed:
Exam passed:
Probation completed: **YES - 5/26/06**

| | | |
|--------------|---|--------------|
| 04-MSRC-013 | <u>Steven T. Moore</u> | Harlan |
| | Settlement—November 29, 2004 | |
| | Sanctions: 1 year Revocation UGMF A-213-98 (11/29/05) | |
| | 1 year Probation UGM Cert (11/29/05) | |
| | 4 hr special safety training w/in 45 days (1/13/05) | |
| | take & pass UGMF exam before being recertified | |
| | Training completed: | |
| | Probation completed: YES - 11/29/05 | |
| | Revocation period completed: YES – 11/29/05 | |
| | Exam passed: | |
| 04-MSRC-013 | <u>Lonnie Couch</u> | Harlan |
| | Settlement—January 13, 2005 | |
| | Sanctions: 1 year Revocation UG Mining Instructor MI-100-91 | |
| | (1/13/06) | |
| | 1 year Probation UGMF A-16-92 (1/13/06) | |
| | 1 year Probation UGM Cert (1/13/06) | |
| | 8 hr special safety training w/in 45 days (2/27/05) | |
| | take & pass UGMF exam w/in 120 days (5/13/05) | |
| | Training completed: | |
| | Probation completed: YES - 1/13/06 | |
| | Revocation period completed: YES - 1/13/06 | |
| | Exam passed: | |
| 04-MSRC-013 | <u>Robert Woods</u> | Harlan |
| | Settlement—November 29, 2004 | |
| | Sanctions: 2 year Probation UGM Cert (11/29/06) | |
| | 4 hr safety training w/in 45 days (1/13/05) | |
| | Training completed: | |
| | Probation completed: | |
| 04-MSRC-014 | <u>Claudius Leon Dotson</u> | |
| | Settlement—March 21, 2005 | |
| | Sanctions: 18 month Probation Electrical License PE-028-03 | |
| | (9/21/06) | |
| | 18 month Probation UGM cert (9/21/06) | |
| | Probation completed: | |
| *04-MSRC-015 | <u>Urshell Smith</u> | Barbourville |
| | Settlement—November 30, 2004 | |
| | Sanctions: Permanent Revocation Surface Mine Instructor | |
| | Cert SI-94-94 | |
| | 1 year Probation Surface Mine Foreman | |
| | Cert S-178-82 | |
| | Probation completed: YES - 11/30/05 | |
| 04-MSRC-018 | <u>Corbett Howell</u> | Martin |
| | Settlement—April 19, 2005 | |

Sanctions: 6 month Suspension UGMF A-218-90 (10/19/05)
Take and pass UGMF exam and then serve 1 year
Probation of new certificate
18 month Probation UGM (10/19/06)
8 hr safety training w/in 60 days
Training completed: **YES - 5/12/05**
Suspension period completed: **YES - 10/19/05**
Probation completed: **YES - 5/16/06**
Exam passed: **NO as of 10/12/05 he failed**

04-MSRC-018

Anthony Baker Martin
Settlement—February 16, 2005
Sanctions: 1 year Probation UGM (2/17/06)
8 hr safety training w/in 45 days (4/3/05)
Training completed:
Probation completed: **YES - 2/17/06**

04-MSRC-018

Corbett Darren Howell Martin
Settlement—February 17, 2005
Sanctions: 20 day Suspension UGM (3/9/05)
18 month Probation from end date of
Suspension (9/9/06)
8 hr safety training w/in 45 days (4/3/05)
Training completed: **YES - 3/1/05**
Suspension completed: **YES - 3/9/05**
Probation completed:

04-MSRC

Highlands Mining & Processing Co., Inc. Harlan
Settlement – April 13, 2006
Sanctions: Permanently barred from holding a license to operate an
underground mine
Civil Penalties owed: \$10,000 – **PAID – 6/06**

04-MSRC-019

Charles Robert Stump Harlan
Settlement – April 13, 2006
Sanctions: Barred from holding a license to operate an underground
mine for 3 years (4/13/09)
3 year Revocation UGM Foreman's Cert (4/13/09)
3 year Probation UG Miner's Cert (4/13/09)
Revocation Completed:
Probation Completed:

04-MSRC-019

Gary V. Planck Harlan
Settlement—February 8, 2005
Sanctions: 15 month Probation UGM (5/8/06)
8 hr training w/in 45 days (3/25/05)
Training completed:
Probation completed: **YES – 5/8/06**

04-MSRC-019

Marty Boggs Harlan
Settlement—February 7, 2005
Sanctions: 15 month Probation UGM (5/7/06)

8 hr training w/in 45 days (3/24/05)
 Training completed:
 Probation completed: **YES – 5/7/06**

04-MSRC-019 Anthony Boggs Harlan
 Settlement—February 7, 2005
 Sanctions: 6 month Revocation UGMF A-8-99 (8/7/05)
 Take and pass UGMF exam and 1 year probation upon
 passing exam (11/30/06)
 18 month Probation UGM (8/7/06)
 8 hr safety training w/in 45 days (3/24/05)
 Training completed: **YES - 3/3/05**
 Revocation period completed: **YES - 8/7/05**
 Exam passed: **YES - 11/3/05**
 Probation completed:

03-MSRC-003 Charles L. Branson Hazard
 Settlement--March 12, 2003
 Sanctions: 30 day Revocation of UGMF A-187-95
 Revocation indefinite upon taking and passing UGMF
 Exam
 Exam passed:
 Revocation period completed:
 *** according to e-mail from Curtis Hall, Branson no longer works
 in mining industry

03-MSRC-004 Jackie Holbrook Martin
 (SEE 03-MSRC-005 for sanctions)

03-MSRC-004 Timothy Hopkins Martin
 Settlement—September 11, 2003
 Sanctions: 3 month Revocation of UGMF A-129-91 (12/11/03)
 16 hr safety training
 take and pass UGMF exam and 2 year Probation
 upon passing UGMF exam
 Training completed: **YES - 1/6 & 1/7 2004**
 Exam passed:
 Probation completed:

*03-MSRC-005 Jackie Holbrook
 Settlement—July 3, 2003
 Sanctions: 2 year revocation UGMF A-132-97
 Take and pass exam to be re-certified
 ***** see 03-MSRC-014 UGMF A-132-97 Permanently revoked
 *** failed to take exam to be recertified-- now works at a surface
 mine according to Curtis Hall

03-MSRC-008 Kenneth Hamilton Pikeville
 Settlement—July 21, 2003
 Sanctions: 2 year Probation UGMF A-69-04 (7/21/05)
 8 hr safety training w/in 60 days (9/19/03)

Training completed:
Probation completed: **YES - 7/21/05**

- 03-MSRC-008 Simon Ratliff Hazard
Settlement—July 21, 2003
Sanctions: 2 year Probation UGMF A-164-00 (7/21/05)
 Indefinite Suspension of Electrical cert PE-382-02
 upon completion of 4 hr electrical training and passing
 electrical exam within 1 year (7/21/04) or revoked
 permanently
Training completed: **YES - 4/20/04**
Exam passed: **YES - 7/21/04 (new # HD-013-04)**
Probation completed: **YES - 7/21/05* [Ratliff has been prosecuted
before the MSRC for violations occurring during the probation
period.]**
- 03-MSRC-011 Harold Swiney
Default—October 16, 2003
Sanctions: 1 year Revocation Electrical Cert PE-589-02
 (10/16/04)
 1 year Revocation UGMF A-193-93 (10/16/04)
 take & pass Electrical exam to be reinstated
 take & pass UGMF exam to be reinstated
Revocation period completed: **YES**
Exam passed:
- 03-MSRC-012 Robert C. Ratliff, Jr.
Settlement—January 2, 2005
Sanctions: 5 year Revocation UGMF Cert, Drill Operator
 and Shot Firer (January 2, 2010)
Revocation period completed:
- 03-MSRC-012 Eugene Conley
Settlement—November 19, 2004
Sanctions: 5 year Revocation UGMF, SMF, Drill Operator
 and Shot Firer (11/19/09)
 8 hr safety training before returning to work in coal
Training completed:
Revocation period completed:
- *03-MSRC-012 Robert Delong
Settlement—October 17, 2003
Sanctions: Permanent Revocation Shot Firer
 8 hr safety training before returning to work in coal
Training completed: **YES - 6/7/04**
- 03-MSRC-012 Robert C. Ratliff, Sr.
Settlement—January 5, 2005
Sanctions: 5 year Revocation UGMF, SMF, Drill Operator, Shot
 Firer, and Conventional Shot Firer (1/5/10)
 8 hr safety training before returning to work in coal
Training completed:

Revocation period completed:

- 03-MSRC-013 Eugene Conley and Robert C. Ratliff, Sr.
Consolidated with 03-MSRC-012
- *03-MSRC-014 Jackie Holbrook
Settlement—November 13, 2003
Sanctions: Permanent Revocation UGMF Cert A-123-97
 2 year probation UGM Cert (11/13/05)
Probation completed: **YES - 11/13/05**
- 03-MSRC-015 James M. Brewer Harlan
Settlement—October 2, 2003
Sanctions: 30 day Suspension UGM followed by 18 month
 Probation (5/2/05)
 8 hr safety training w/in 30 days
Training completed:
Probation completed: **YES - 5/2/05**
- 03-MSRC-015 Doyle Gray Harlan
Settlement—October 2, 2003
Sanctions: 1 year Probation UGM (10/2/04)
 8 hr safety training w/in 30 days
Training completed:
Probation completed: **YES - 10/2/04**
- 03-MSRC-017 Robert Dotson, Jr. Martin
Settlement—April 13, 2004
Sanctions: 1 year Probation UGM (4/13/05)
 8 hr safety training w/in 30 days
Training completed:
Probation completed: **YES - 4/13/05**
- 03-MSRC-018 Douglas Cowan Harlan
Settlement—December 5, 2003
Sanctions: 6 month Suspension UGMF A-148-92 followed by
 1 year Probation at end of suspension period
 6 month Suspension UG Mine Instructor Cert
 MI-032-92 followed by 1 year Probation at end of
 suspension period
 8 hr safety training w/in 30 days
Training completed:
Suspension period completed: **YES - 6/5/04**
Probation completed: **YES - 6/5/05**
- 03-MSRC-020 Ray Lamb Harlan
Settlement—February 10, 2004
Sanctions: 18 month Probation UGMF A-246-85
 8 hr safety training w/in 30 days
Training completed:
Probation completed: **YES - 8/10/05**

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| *02-MSRC-002 | <u>Ted Ray Brown</u> | |
| | Settlement—April 9, 2002 | |
| | Sanctions: | Permanent Revocation UG Mine Instructor MI-036-90 Permanent Revocation Surface Mine Instructor SI-060-95 Permanent Revocation UGMF A-25-88 Permanent Revocation SMF S-238-83 |
| 02-MSRC-003 | <u>Harold Hacker</u> | Hazard |
| | Settlement—February 12, 2003 | |
| | Sanctions: | 18 month Revocation UGMF A-140-94 (8/12/04) Take and pass exam to be recertified 18 month Revocation SMF S-989-85 (8/12/04) take & pass exam to be recertified 18 month Revocation UG Mine Instructor MI-14988 (8/12/04) take & pass exam to be recertified |
| | Revocation period completed: | YES - 8/12/04 |
| | Exams passed: | |
| 02-MSRC-006 | <u>Billy Wayne Mize</u> | Harlan |
| | Settlement—October 10, 2002 | |
| | Sanctions: | 2 year Revocation UGMF A-5-99 (10/10/04) 3 year Revocation Electrical Cert HN-476-01 (10/10/05) Take and pass UGMF exam to be reinstated Take and pass Electrical exam to be reinstated |
| | Revocation period completed: | YES |
| | Exams passed: | |
| 02-MSRC-006 | <u>Lanious Mize</u> | Harlan |
| | Settlement—October 10, 2002 | |
| | Sanctions: | 3 year Revocation UGMF A-786-74 (10/10/05) Take and pass UGMF exam to be reinstated |
| | Revocation period completed: | YES |
| | Exam passed: | |
| *02-MSRC-008 | <u>Corbett Howell</u> | |
| | Settlement—November 12, 2002 | |
| | Sanctions: | Permanent Revocation Shot Firer Cert MN-929-82 2 year Probation UGMF Cert A-218-90 (11/12/05) |
| | Probation completed: | YES - 11/12/05 |
| *02-MSRC-010 | <u>Bobby Joe Horn</u> | |
| | Settlement—January 9, 2003 | |
| | Sanctions: | Permanent Revocation Surface Mine Instructor SI-20-01 Permanent Revocation UG Mine Instructor MI-15-97 2 year Probation UGMF A-75-96 |
| | Probation completed: | YES - 2/4/05 |
| *02-MSRC-011 | <u>Robert L. Stewart</u> | Martin |
| | Default—February 25, 2003 | |

Sanctions: Permanent Revocation UG Mine Instructor MI-16-98
Permanent Revocation MET Instructor WI-002-99
Permanent Revocation UGMF A-145-96

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| 01-MSRC-001 | <u>David Sturgill</u> Martin Settlement—April 27, 2003 Sanctions: 4 year Revocation UGMF A-110-81 (4/27/07) Take and pass exam to be recertified Revocation period completed: Exam passed: |
| 01-MSRC-001 | <u>Paul Clevinger</u> Martin Settlement—April 27, 2003 Sanctions: 4 year Revocation UGMF A-354-75 Take and pass exam to be recertified Revocation period completed: Exam passed: |
| 01-MSRC-002 | <u>Charles Hensley</u> Settlement—January 8, 2003 Sanctions: 2 year Revocation UGMF A-91-96 Take and pass UGMF exam to be reinstated Revocation period completed: YES Exam passed: |
| 01-MSRC-002 | <u>Verling Hall</u> Settlement—August 9, 2002 Sanctions: 5 year Revocation UGMF A-152-69 (8/9/07) Revocation period completed: |
| 01-MSRC-002 | <u>James Helton</u> Settlement—October 24, 2002 Sanctions: 5 year Revocation Electrical Cert HN-430-00 (10/24/07) Revocation period completed: |